United States Department of Agriculture Agricultural Marketing Service | National Organic Program Document Cover Sheet https://www.ams.usda.gov/rules-regulations/organic/national-list/petitioned

Document Type:

□ National List Petition or Petition Update

A petition is a request to amend the USDA National Organic Program's National List of Allowed and Prohibited Substances (National List).

Any person may submit a petition to have a substance evaluated by the National Organic Standards Board (7 CFR 205.607(a)).

Guidelines for submitting a petition are available in the NOP Handbook as NOP 3011, National List Petition Guidelines.

Petitions are posted for the public on the NOP website for Petitioned Substances.

⊠ Technical Report

A technical report is developed in response to a petition to amend the National List. Reports are also developed to assist in the review of substances that are already on the National List.

Technical reports are completed by third-party contractors and are available to the public on the NOP website for Petitioned Substances.

Contractor names and dates completed are available in the report.

Konjac Flour

1		ndling/Pro	
2	Identification of Petitioned Substance		
3 4 5 6	Chemical Names: Konjac Flour Konjac Mannan	19 20 21	Propol ® Phoenix 2A Nutricol ® GP 6220 Rheolex ® LM
7 8 9 10 11	Amorphophallus Konjac Root Mannan Other Names: Konjac Glucomannan Konjac Gum		CAS Numbers: 37220-17-0 Other Codes:
12 13 14 15 16 17 18	Konyac Gun Konyaku Glucomannoglycan Konjac Jelly Trade Names Amophol ® LG Ticagel ® Konjac HV Rheolex ® RX-H		INS No.: 425 E No.: E 425 UNII No.: 36W3E5TAMG ECHA No.: 253-404-6 EINECS: 253-404-6 RXCUI: 1429449 MERCK INDEX: M6637
22 23	Summ	ary of Pe	titioned Use
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38	 of Agriculture (USDA) organic regulations (7 agricultural ingredients that may be used in or require that processed products labeled as "or Only the nonorganically produced agricultur, permitted in products labeled as "organic" ar organic form. Konjac flour and its derivative substances hav nutritional supplements, and weight loss pro- 2013, Al-Ghazzewi et al. 2015, Ho et al. 2017). 	CFR Part or on proc rganic" cc al produc ad only w ve been us ducts (Bir The focus er, gelling	ketvedt et al. 2005, Bateni et al. 2013, Keithley et al. s of this technical report will be on the food uses of t, and thickening agent (Maekaji 1974, Osburn and
 39 40 41 42 43 44 45 46 47 48 49 	flour to the National List (FMC 2001). As the the USDA National Organic Program (NOP), flour be added to the National List at their Ma konjac flour to the National List in June 2007	n not com ic Standar federal ad the NOSI ay 2002 m (72 FR 351 al List (N	0 0

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Characterization of Petitioned Substance

52 <u>Composition of the Substance:</u>

53 Konjac flour is a hydrocolloid polysaccharide produced from the corms (a corm is an underground plant also

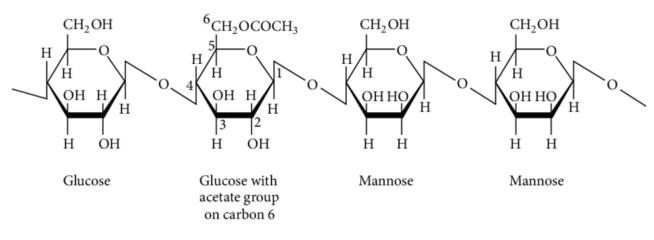
referred to herein as a "tuber" or "rhizome") of the *Amorphophallus konjac* plant. This plant, a tropical perennial

root crop, is also known as the voodoo lily, elephant yam, devil's tongue, and konnyaku potato (JECFA 2001, FPA 2018, Behara and Ray 2017). Clucomannean, the main common time logical flows is a form of distance of the second s

EPA 2018, Behera and Ray 2017). Glucomannan, the main component in konjac flour is a form of dietary fiber.
 Dietary fibers differ from other carbohydrates in that they can only be partially digested or broken down by

58 human salivary or pancreatic enzymes.

- 59
- 60 The flour is made from the glucomannan found in specialized plant cells called idioblasts that are spread
- 61 throughout the konjac corm, with concentrations becoming larger as distance increases from the epidermis of
- 62 the corm (Chua et al. 2013). The molecular structure of konjac flour is composed mainly of high molecular
- 63 weight, branched non-ionic glucomannan molecules that are composed of a backbone of β -1/4 linked
- 64 D-glucopyranose and β -D-mannopyranose sugars, generally with a 1.0:1.6 ratio (Parry 2010, JECFA 2001).
- Acetyl group side chains are located every 9–19 units on the C2, C3, or C6 carbons of mannopyranose molecules (FMC 1998). These side chains contribute to solubility. The konjac mannan's structure can be seen in Figure 1.
- 67
- Konjac flour is commercially available as an off-white to brown powder with \geq 96% purity (JECFA 1996,
- Aromantic 2016, EFSA 2017). The substance readily absorbs water, with reports of absorption of 200 times its
- 70 weight in water (PubChem 24892726, Flomenbaum et al. 2006, EFSA 2017).
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Figure 1: Structure of Konjac Mannan (Keithley et al. 2013)

7778 Source or Origin of the Substance:

79 Amorphophallus konjac is a major tuber crop grown in Asian countries and has been used as food in China 80 and Japan for more than 1000 years (Behera and Ray 2017). While the konjac plant is not commercially 81 grown in the United States, the majority of konjac flour used in the nation originates from China and 82 Japan, though Thailand may be an emerging source of konjac flour from other varieties of the species 83 (Orachorn et al. 2016). The Organic Integrity Database lists twenty-six suppliers of konjac flour and 84 konjac products as of April 1, 2020. Twenty-one of these suppliers are located in China, one is located in 85 Singapore, and four are located in the United States. However, the United States operators in USDA's 86 Organic Integrity Database are listed as "handlers" (OID 2020). Top suppliers in China include Hubei 87 Yizhi Konjac Biotechnology Company and Baoji Konjac Chemical Company (HMI 2019). Detailed 88 information about the quantity of organic konjac flour available from these suppliers could not be 89 determined. 90

90 01

In terms of its global availability, konjac flour sales are forecasted to increase through 2023 in China, the

92 United States, Japan, and Korea. The global market for hydrocolloids overall is expected to grow by 5.8%

annually through 2022 (Bizzozero 2018). Additionally, FDA announced that it intends to expand the

94 definition of "dietary fiber" to include several new sources of non-digestible carbohydrates (FDA 2020b).

95 Glucomannan, including konjac glucomannan, is one of the non-digestible carbohydrates (FDA 2020a)

- listed. Until the rule is finalized, FDA will allow manufacturers to use glucomannan in their dietary fiber
 declarations on a case-by-case basis. As such, supply may be limited as increasing demand could affect
- 98 the availability for production needs.
- 99

100 Konjac plants typically grow in shady environments and need well-draining soil with a pH between 6.5

and 7.5 to thrive. The optimal temperature for growth is between 68–77 °F (20–25°C), but the plant can be

grown at temperatures as low as 41°F. Konjac corms, which form underground, may grow up to 10 kg if
 left unharvested (Douglas et al. 2006). The plants reproduce by sloughing off rhizomatous offsets. Once

104 the rhizome has been disconnected from the mother plant, it can grow into another one.

105

106 Konjac flour is created by slicing and drying the corms of the *Amorphophallus konjac* plant, then milling

107 the dried result. The powder produced from the milling process is then wind or cyclone separated to 108 form konjac flour. The wind or cyclone separation process removes impurities, such as ash, from the

flour. The resulting flour is composed of approximately 75% carbohydrates, 2–8% protein, <1% fat, and

- 109 flour. The resulting flour is composed of approximately 75% carbohydrates, 2–8% protein, <1% 110 3–5% ash (JECFA 1993).
- 110

112 **Properties of the Substance:**

113 Konjac flour is defined as a food additive by the Codex Alimentarius and considered GRAS (generally

recognized as safe) by the Food and Drug Administration (FDA) (FAO/WHO 2019). The glucomannan

115 contained within the flour acts as a hydrocolloid by absorbing and binding water, up to 100 g of water

116 per 1 g of flour (Perry 2010). Absorbency is affected by acetylation (acetyl group substitution for a

hydrogen). As acetylation of the glucomannan increases, the water absorbency of the flour will decrease,

118 as will the viscosity of the resulting gel. Konjac flour will also absorb oil but only between one and two 119 times its own weight, significantly less than the absorbency with water. Removal of acetyl groups, caused

by the addition of an alkaline coagulant during heating of the product, will allow konjac flour to form a

121 thermally irreversible gel (Ji et al. 2017).

122

123 The glucomannan within the flour will begin decomposing around 250 °C, with complete decomposition

124 occurring at 350 °C. Gels will become less viscous above 80 °C. Konjac glucomannan is also affected by

125 bacterial enzymes, such as β -d-glucanase and will undergo bacterial fermentation when exposed to them.

126 Gels produced with konjac flour must be treated with preservatives to prevent degradation by airborne

- 127 microorganisms that may start the bacterial fermentation process.
- 128

129 Konjac flour gels may be formed in several ways, based on the interactions of glucomannan with other

chemical processes. Eliminating acetyl groups, as mentioned above, will allow the remaining structure to

build random junction zones with hydrogen bonding. This forms an irreversible gel like polyacrylamide

gels. The process of eliminating acetyl groups is typically performed with alkali reactions to limit

hydrolysis during the process, but acidic deacetylation is also possible. Temperature stable gels may also

be formed without removing acetyl groups, depending on other ingredients, pH of the solution, and

135 presence of other polysaccharides, such as xanthan gum. The physical properties of the resulting gel, such

as elasticity, melting characteristics, and heat sensitivity, will vary greatly between combinations. Konjac
 flour gels are currently not listed in any certified products on the Organic Integrity Database.

138

139 Konjac and carrageenan will form synergistic gels when blended. Maximum viscosity is achieved at a

- ratio of 75–90% konjac flour and 10–25% carrageenan. Adding konjac flour to xanthan gum will produce
- 141 a very elastic gel that is thermally reversible. Maximum synergy is achieved by 50/50 blends of konjac
- 142 flour and xanthan gum. Blending konjac flour with other starches can increase viscosity and improve the
- 143 freeze-thaw stability of the resulting gel. This will reduce syneresis, or weeping, after the gel is thawed.

144

- 145 The flour itself is a white, cream, or light tan colored powder. It may have a fish-like smell if amines are
- present in the flour (Behera & Ray 2017). Hydrolyzed konjac has a chewy texture and greasy mouthfeel, 146 147 which is why it can be used as a fat substitute (Parry 2010).
- 148
- 149 Selected properties are listed below in Table 1.
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Table 1: Properties of Konjac Flour

Property	Konjac Flour
Description	White or cream to light tan powder
Functional Uses	Gelling Agent
	Thickener
	Emulsifier
	Stabilizer
	Humectant
	Film Former
Formula Weight	Glucomannan has an average molecular weight of
	200,000 to 2,000,000 Daltons
Empirical Formula (Glucomannan)	$C_{24}H_{42}O_{21}$
Molecular Weight (Glucomannan)	666.6g/mol
Assay	Not less than 75% carbohydrate
Maximum level of protein permitted	8%
Water Solubility	Dispersible in hot or cold water; forms a highly
	viscous solution; pH between 4.0 and 7.0 7–10%
	soluble by weight
CAS Number	37220-17-0
INS Number	425
E Number	E425
UNII	36W3E5TAMG
Polymer Type	Neutral; interrupted repeat
Conformation Type	Zone C-D (semi-flexible/random coil)
Gelation Properties	Needs alkaline conditions to remove acetyl
	groups;
	the gel formed is thermally irreversible
Key sites for biomodification	Polymannan regions
	Binding by helical regions of other
	polysaccharides
	OH groups for esterification and etherification

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Sources: PubChem 24892726, Mortensen et al. 2017, FMC 1998, Parry 2010, Harding et al. 2017 154

Specific Uses of the Substance: 155

- Because of its hydrocolloid nature, konjac glucomannan can form a highly viscous solution when 156
- dissolved in water (Nieto 2009). Adding alkali, heat, or other polysaccharides to the mixture can change 157 the effect of konjac glucomannan on processed foods. Below are several ways in which Konjac flour is 158 159 used in the food industry:
- 160
- 161 1. **Thickener:** Konjac flours solubilize in water, even at low temperatures (Parry 2010). As such,
- 162 konjac flours can be used to thicken foods and cosmetics.
- 163

164 2. Gelling Agent: Konjac flour that has had the acetyl groups removed from the glucomannan backbone can be used to form a thermally irreversible gel (Harding et al. 2017). The hardness of 165 166 the gel will depend on the concentration of glucomannan polymers present. 167 3. Film Former: Konjac glucomannan can form a strong film (Nieto 2009). The acetyl ester groups 168 169 present give konjac glucomannan a slight negative charge and greater steric hindrance than 170 would be produced by a hydroxyl group. Removing the acetyl groups can produce a stronger 171 film if desired. 172 173 4. Emulsifier and Stabilizer: Emulsification is the process of dispersing one liquid within a second 174 immiscible liquid, such as oil and water (Dickinson 2009). Hydrocolloids such as konjac 175 glucomannan can act as emulsifiers in high concentrations by forming viscoelastic connections 176 between the dispersed droplets. This essentially forms a weak, gel-like network, holding the droplets in place and preventing them from separating out of suspension. 177 178 179 5. Humectant: As mentioned above, konjac glucomannan acts as a hydrocolloid. This allows it to 180 act as a humectant by binding to water molecules present in a substance and preventing them 181 from evaporating out, thus maintaining the moisture of the substance. 182 Approved Legal Uses of the Substance: 183 184 The FDA defines konjac flour as a GRAS food additive. 185 186 It is defined by the USDA NOP in 7 CFR 205.606 as a non-organically produced agricultural product 187 allowed as an ingredient in or on processed products labeled as "organic." 188 The USDA Food Safety and Inspection Service (FISIS) allows konjac flour to be used as a meat binder 189 provided it does not exceed 3.5% of the product formulation individually or collectively with other 190 binders (FSIS 2013). It is also listed in the USDA Food Standards and Labeling Policy Book as a food 191 ingredient that provides the effects of thickening, gelling, texturizing, and water binding, like that of 192 starch vegetable flours, such as potato flour (FSIS 2005). As such, it can be used in applications such as 193 surimi, a compound fish paste often known in the United States as artificial crab (Iglesias-Otero et al. 194 2010). 195 196 The FDA has designated products containing glucomannan, a "water-soluble gum," to require a choking 197 warning at 21 CFR 201.319. 198 199 Konjac flour may be used in cosmetics. It is not listed in 21 CFR § 700, which deals with definitions of 200 cosmetics and prohibited ingredients. Cosmetic ingredients that are not color additives do not require 201 FDA approval to be used in the United States, though they may be affected by laws and regulations 202 relating to interstate commerce (FDA 2018). 203 204 The Environmental Protection Agency (EPA) allows konjac flour to be used as a thickener in pesticide 205 formulation not to exceed 1.0% by weight for inert ingredients used pre-harvest in 40 CFR 180.920 (EPA 206 2018). 207 208 Action of the Substance: 209 The activity of konjac flour is due to the glucomannan molecules contained in the idioblasts left in the 210 flour after wind or cyclone sifting. 211 212 Konjac glucomannan acts as a hydrocolloid that can add desired properties to foods, such as thickening, 213 gelling, and stabilizing. Hydrocolloids are heterogeneous long-chain polymers characterized by their 214 ability to form gels or viscous dispersions in water (Saha and Bhattacharya 2010). Konjac glucomannan 215 acts as the hydrocolloid in konjac flour. The presence of hydroxyl groups increases a hydrocolloid's

affinity for binding water molecules, meaning the more hydroxyl groups present on a polymer, the more

- 217 hydrophilic it is. Once water molecules are bound to a hydrocolloid, a dispersion that exhibits the
- 218 properties of a colloid occurs, thus the name "hydrocolloid."
 219
- 220 Konjac flour can act as a thickener when added to water-containing solutions. The idioblasts containing
- 221 glucomannan dissolve, releasing the hydrocolloid polymer into the liquid. As the concentration of
- glucomannan increases in the substance, it forms an entangled network, which restricts particlemovement.
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Gels are formed only when konjac glucomannans have been de-esterified (Harding et al. 2017). This is done through alkali treatment, which removes the acetyl groups from the glucomannan backbone. Once the acetyl groups have been removed, konjac glucomannan can form thermo irreversible gels. Unlike other gel forming agents, konjac flour does not form a gel due to the denaturation of its protein structure. Instead, gelation occurs when chemical changes occur on the polysaccharide chain, which in turn results in the structural changes needed to form a gel (Maekaji 1974). As mentioned above, this is usually done by treating the glucomannan with an alkaline substance, which promotes the hydrolysis of sugar linkages (Maekaji 1974, Timberlake 2015). Higher degrees of deacetylation will lead to faster gelation (Yang et al. 2017). This is caused by the konjac glucomannan chain changing from semi-crimping to a self-crimping

- structure, which leads to more connections between the glucomannan molecules.
- 235

236 Stronger gels may be produced when a helical polysaccharide, such as gellan gum, is added to the konjac

- 237 glucomannan (Harding et al. 2017). Konjac flour exhibits a synergistic effect when combined with other
- 238 gelation agents, such as xanthan gum, κ-carrageenan, agar, pectin, and gelatin, producing more robust
- 239 gels due to its ability to promote cross-linking between the existing gelation networks (Akesowan 2002).
- Konjac flour derivatives, such as oligosaccharides, have been used as ingredients in skin care products
 and in wound healing applications (Bateni et al. 2013, Al-Ghazzewi et al. 2015). While the full mechanism
- of the immune support is still under investigation, the mannose-based oligosaccharides formed via
- 242 of the minute support is still under investigation, the manuse-based ongosacchandes formed via 243 glucomannan hydrolysis are have been shown to suppress Immunoglobulin E production in mice upon
- 244 ingestion (Suzuki et al. 2010). The ingestion of mannose-rich konjac flour products continues the general
- 244 Ingestion (Suzuki et al. 2010). The ingestion of mannose-nen konjue nour products continues the general 245 trend in application of mannose sugars for wound-healing, with aloe vera applications being the most
- recognizable form (Hamman 2008, Al-Ghazzewi et al. 2015).
- 247

248 Konjac powder and glucomannan are used in nutritional supplements to promote weight loss (Birketvedt

- et al. 2005, Keithley et al. 2013, Ho et al. 2017). The β-1-4 linkages that extend the length of the
- 250 polysaccharide chain are not digested by human enzymes or the microbiota in the human GI tract
- 251 (Timberlake 2015). The combination of the inability to break down the glucomannan polysaccharide,
- along with the viscous solutions created when dissolved in water makes the substance among the most
- viscous sources of soluble fiber (Ho et al. 2017). This results in feelings of increased satiety after ingestion,
- which reduces food intake. This serves as the primary means for glucomannan supplements to promote
- weight loss (Akesowan 2002, Birketvedt et al. 2005, Keithley et al. 2013, Ho et al. 2017).
- 256

257 The primary medicinal use of konjac flour is treatment of cholesterol levels (Akesowan 2002, Keithley et 258 al 2013, Ho et al. 2017). The ability of glucomannan to lower cholesterol is tied to its efficacy as a source of 259 soluble fiber (Ho et al. 2017). The precise mechanisms of action for decreased levels of low-density 260 lipoprotein (LDL) are still being investigated, although the viscosity of glucomannan fiber within the gut is thought to shift the kinetics of nutrient uptake (Barsanti et al. 2011, Ho et al. 2017). The presence of the 261 viscous fiber within the GI tract may reduce the reabsorption of cholesterol and bile acids and increase 262 263 acetate production, both of which have been linked to reductions in LDL concentration (Wong et al. 2006, 264 Keithley et al. 2013, Ho et al. 2017). Recent studies have suggested that the viscosity of the fiber may be more important than the quantity of fiber ingested, indicating that glucomannan may be a more effective

265 more important than the quantity of fiber ingested, indicating that glucomannan may be a more effecti 266 source of fiber for cholesterol treatments than other dietary fibers. (Vuksan et al. 2011, Ho et al. 2017).

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268 Combinations of the Substance:

Though formed directly from the corms of the *Amorphophallus konjac* plant, konjac flour may be combined with other gums, such as xanthan gum, to achieve a synergistic effect and form a stronger gel (Harding et al. 2017). As mentioned above, adding an alkaline solution, such as calcium hydroxide, to the konjac flour will strip the acetyl groups from the glucomannan backbone and enable it to form a thermally irreversible gel.

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275 276 Status

277 Historic Use:

Historically, konjac has been used in China, Japan, and South East Asia as a traditional food (Chua et al.
2010). The flour can be processed into foods such as konjac curd and noodles, jellies, cakes, jams, and
breads (FMC 2001). Chinese traditional medicine uses a gel prepared from konjac flour for detoxification,
tumor suppression, and phlegm liquefaction (Chua et al. 2010). The flour has also been used for treatment
of asthma, cough, pain, burns, and skin disorders.

283

284 Konjac flour is also used outside of food additives and traditional medicines. The glucomannan in it can

be separated out for several purposes. Konjac glucomannan has been used in cosmetics as moisturizing

agents, physical exfoliants, oil-in-water emulsifiers, and in disinfectant gels (Zhang et al. 2005). Due to its
 ability to form emulsions, gels and films, konjac glucomannan can also be applied to pharmaceutical

applications, including drug-delivery systems such as films and drug capsules and bio-adhesives.

289

290 Konjac flour may be used as thickener in pesticides in traditional agricultural production at

- 291 concentrations of 1% or below (EPA 2018).
- 292

293 Organic Foods Production Act, USDA Final Rule:

294 Konjac flour is not listed in the Organic Foods Production Act of 1990 (OFPA). It is listed in the USDA

organic regulations, 7 CFR 205.606, as a non-organic ingredient allowed in foods labeled as organic.

296

297 <u>International</u>

298 The use of Konjac flour is permitted in varying forms in international markets. China, Japan, and other

299 eastern Asian countries that have historically used konjac, classify it as a food (Parry 2010). Australia

300 considers konjac flour to be a vegetable when used as a product's key ingredient.

301 302 Canadian General Standards Board Permitted Substances List –

Konjac flour is not listed in the Canadian General Standards Board Permitted Substances List for organic production systems according to CAN/CGSB-32.12-2018). It is not included on the Lists of Official Food

Additives kept by Health Canada's official repository (HC 2017).

306

307 Konjac flour (listed as konjac mannan) is in the Natural Health Products Ingredients Database from

308 Health Canada (HC 2007). It is an approved herbal substance and listed under Schedule 1: Plant and

309 plant materials, as a film former, gelling agent, solubilizing agent, and thickening agent. Konjac

- 310 glucomannan is listed as a non-medicinal ingredient in the database.
- 311

CODEX Alimentarius Commission, Guidelines for the Production, Processing, Labelling and Marketing of Organically Produced Foods (GL 32-1999) –

314 Konjac flour (INS No. 425) is not listed in Table 3.1 of the CODEX (GL 32-1999) as a food additive.

315 (FAO/WHO 1999). However, konjac flour is listed in the CODEX (CXS 192-1995) in Table 3 as a food

- additive. It is considered acceptable in foods with the commodity standards (CS) 117-1981 and CS
- 317 309R-2011 and is in the carrier, emulsifier, gelling agent, glazing agent, humectant, stabilizer, and
- 318 thickener functional classes (FAO/WHO 2019).

319

320 European Economic Community (EEC) Council Regulation, EC No. 834/2007 and 889/2008 –

321 Konjac flour (E 425) is not listed as a permitted food additive in Annex VIII, section A of Commission

Regulation (EC) No. 889/2008. It is not listed in EC No. 834/2007. The use of Konjac flour (as E 425 i and

323 E 425 ii) as a food additive was reevaluated by the EFSA Panel on Food Additives and Nutrient Sources

in 2017 (Mortensen et al. 2017). The panel concluded that konjac flour was permitted as a food additive

- up to 10g/kg if total consumption from all sources was no more than 3 g per day.
- 326

327 In the European Union (EU), konjac must be at 1% concentration or below in end products or blends with 328 other thickeners (Parry 2010). Konjac has an exemption to this rule in Belgium, which allows its use as a

- 329 botanical material for weight control.
- 330

331 Japan Agricultural Standard (JAS) for Organic Production –

332 Konjac flour is not listed in the Japanese Agricultural Standard for Organic Production under the

333 Standard for Organic Plants (Notification No. 1605/2005), Organic Processed Foods (Notification No.

334 1606/2005), Organic Feeds (Notification No. 1607/2005), or Organic Livestock Products (Notification No.

1608/2005). All standards were last revised in March 2012. It is not listed as a food additive in Table 1 of

- Article 4 of the Japanese Agriculture Standard for Organic Processed foods (MAFF 2012). However, in
- JAS Organic Production Notification No. 1606, wood ash as a permitted food additive in attached Table 1
- in producing alimentary konjac products.

340 International Federation of Organic Agriculture Movements (IFOAM) -

- Konjac flour (INS 425) is not listed in the International Federation of Organic Agriculture Movements
 Norms (IFOAM 2018).
- 343 344

Evaluation Questions for Substances to Be Used in Organic Handling

345

Evaluation Question #1: Describe the most prevalent processes used to manufacture or formulate the
 petitioned substance. Further, describe any chemical change that may occur during manufacture or
 formulation of the petitioned substance when this substance is extracted from naturally occurring
 plant, animal, or mineral sources (7 U.S.C. § 6502 (21)).

350

Konjac flour is produced from the corms of the *Amorphophallus konjac* plant, a tropical perennial crop. The corms of the plant contain about 30–50% glucomannan gum. When the corms are dried and milled, the resulting powder is konjac flour (FMC 2001). The flour constitutes 60–80% of the dried root corm (JECFA

1993). The corms grow below ground and are harvested at 2 kg for commercial processing (Douglas et al.

2006). They are then washed and cut into thin slices, which are then dried and dry-milled into powder.

The powder is separated, usually by cyclone or wind separation, producing crude konjac flour (Harding

et al. 2017). The flour may contain fine oval sacs, which contain polymers (Pérols et al. 1997). When the

- flour is hydrated, the sacs will swell and rupture, releasing the glucomannan.
- 359

Konjac flour, konjac glucomannan, and konjac gum may be used interchangeably, as all refer to products from the dried *Amorphophallus konjac* corm (EPA 2018). The difference in naming typically refers to the

- processing that goes on after the crude konjac flour is dried and separated. Konjac flour is used to refer to
- the unpurified raw product. Konjac glucomannan is produced by washing the flour with water-
- 364 containing ethanol to remove impurities. Konjac gum is the water-soluble hydrocolloid left over after
- 365 aqueous extraction.
- 366

367 Glucomannan can be further processed to form differing types of gels. If heat or alkali treatment is used

to remove the acetyl group from the glucomannan backbone in a process known as deacetylation, the
 resulting gel will be hard and thermally irreversible (Harding et al. 2017, Yang et al. 2017).

370 371 Evaluation Question #2: Discuss whether the petitioned substance is formulated or manufactured by a 372 chemical process, or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)). Discuss 373 whether the petitioned substance is derived from an agricultural source. 374 375 Since konjac flour is created from the corms of the Amorphophallus konjac plant, it is derived from an 376 agricultural source. Crude konjac flour is created through a natural drying process. Konjac glucomannan 377 forms in the corms of A. konjac during the growth process. As mentioned above, the konjac corm is about 378 60-80% flour. The flour is extracted from the corm through drying, milling, and wind or cyclone 379 separation, which removes the remaining 40–20% of the corm, leaving only flour. As previously 380 mentioned, aqueous extraction and ethanol washing can be used to further purify the flour, but this will 381 not happen in a naturally occurring biological process (Xu et al. 2014). 382 383 Evaluation Question #3: If the substance is a synthetic substance, provide a list of nonsynthetic or 384 natural source(s) of the petitioned substance (7 CFR § 205.600 (b) (1)). 385 386 The Amorphophallus konjac K. Koch plant is the natural source of konjac flour (Maekaji 1974). Konjac flour 387 is an agriculturally produced nonsynthetic substance. See Evaluation Question #12 for other nonsynthetic 388 alternatives to konjac flour. 389 390 Evaluation Question #4: Specify whether the petitioned substance is categorized as generally 391 recognized as safe (GRAS) when used according to FDA's good manufacturing practices (7 CFR § 392 205.600 (b)(5)). If not categorized as GRAS, describe the regulatory status. 393 394 Alcohol-washed konjac flour received GRAS status from the FDA in 1957 (Parry 2010). Konjac flour 395 received FDA GRAS status in 1994 (FMC 2001, EPA 2018, Behera and Ray 2017). It is not listed in 21 CFR 396 §182, 184, or 186 but is listed in 7 CFR 205.606 on the National List of Allowed and Prohibited Substances. 397 Konjac flour (as konjac glucomannan) is also listed under 40 CFR 180.920 as a thickener not to exceed 398 1.0% by weight in pesticide formulation for inert ingredients used pre-harvest. 40 CFR 180 defines 399 tolerances and exemptions for pesticide chemical residues in food. Moreover, deacetylated konjac flour 400 does not have a separate GRAS status from konjac flour. 401 402 Konjac flour (as konjac glucomannan) appears in the GRAS Notice Inventory under GRN 328 and 407 as 403 part of a polysaccharide complex of konjac glucomannan (konjac), sodium alginate, and xanthan gum (polysaccharide complex KAX). GRN 328 did not provide a determination for GRAS status. GRN 407 404 405 received a "FDA has no questions" status. 406 Evaluation Question #5: Describe whether the primary technical function or purpose of the petitioned 407 408 substance is a preservative. If so, provide a detailed description of its mechanism as a preservative (7 409 CFR § 205.600 (b)(4)). 410 411 The primary use of konjac flour is as a thickener or gelling agent, not as a preservative (Mortensen et al. 412 2017). 413 414 Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate 415 or improve flavors, colors, textures, or nutritive values lost in processing (except when required by 416 law) and how the substance recreates or improves any of these food/feed characteristics (7 CFR § 417 205.600 (b)(4)). 418 419 Konjac flour can be used to recreate the texture of fat in low fat foods, like iota-carrageenan (Pearson and 420 Dutson 2013). However, this is not the primary purpose of the use of konjac flour as a food additive.

Instead, konjac flour is primarily used as a gelling agent, stabilizer, thickener, and film former inprocessed foods (FMC 2001).

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430 431 Konjac flour may be used as a texturizing agent. This function is achieved by its ability to enhance water retention and in the formation of robust gels (Osburn and Keeton 1994, Akesowan 2002, Akesowan 2008, Chin et al. 2009, EFSA 2017). Gel formation and water retention have been used in meat products to introduce leaner cuts of meat, while maintaining the texture and cooking quality that are associated with cuts with higher fat content (Osburn and Keeton 1994, Chin et al. 2009). The incorporation of konjac flour helps to reduce water loss throughout the cooking process, alleviating "cooking loss" linked to sausages and other meat products made with lean meats (Chin et al. 2009). Moreover, there are documented synergistic effects of konjac flour with other gelling agents such as collagen and gelatin, which are naturally present in meats that increase gelation with increased temperature (Maekaji 1974, Osburn and

- 432 naturally present in meats that433 Keeton 1994, Chin et al. 2009).
- 434

Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or feed when the petitioned substance is used (7 CFR § 205.600 (b)(3)).

437

438 Konjac flour is a non-digestible polysaccharide (EPA 2018). This is due to the β -glycosidic linkages

between the mannose and glucose molecules, which cannot be hydrolyzed by pancreatic amylase or

salivary amylase. This means that it passes into the colon without being digested. Once in the colon, it is

fermented by the native flora to produce glucomanno-oligosaccharides. Because it is not digested

immediately, konjac glucomannan can increase feelings of satiety, leading the consumer to eat less

(Behera and Ray 2017). This effect is present in concentrations typically used in food due to the ability of

- konjac glucomannan to absorb water in the gut and form a soluble gel. While this is not an effect on the nutritional quality of a food, it is a health effect and should be noted.
- 446

When used as a fat replacer in foods, konjac flour has been shown to lower the caloric content of the food.
The incorporation of konjac flour has been reported to decrease the caloric content of meat products by an
estimated 50% (Osburn and Keeton 1994). This is largely due to the negligible caloric value of the

glucomannan protein based on the inability of humans to break down the β 1-4 linkages found

451 throughout the polysaccharide (Timberlake 2015). This is primarily seen as an industry positive since

452 konjac flour is primarily added to reduced-fat meat products (Osburn and Keeton 1994, Akesowan 2008,

453 Chin et al. 2009). The caloric reduction in sausages incorporating konjac flour is typically coupled with a

reduction in fat content, due the ability to use low-fat ingredients (Osburn and Keeton 1994, Akesowan

455 2008, Chin et al. 2009).

456 457 <u>Evaluation Question #8:</u> List any reported residues of heavy metals or other contaminants in excess of 458 FDA tolerances that are present or have been reported in the petitioned substance (7 CFR § 205.600 459 (b)(5)).

459 460

The Institute of Medicine Food and Nutrition Board Committee on Food Chemicals presents limits for heavy metals and contaminants in konjac flour in a monograph published in 1996 (IM 1996). The limits are the following:

- **Arsenic:** Not more than 3 mg/kg
- **Heavy Metals:** Not more than 10 mg/kg
- **Lead:** Not more than 5 mg/kg
- 466 467

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The USDA has not reported any recalls of konjac flour for contamination or heavy metal residues since1996. The USDA recall database posts notices of recalls from 1996 onward.

470

- 471 The EPA issued an exemption from the requirement of tolerance for konjac glucomannan as an inert
- 472 ingredient under 40 CFR 180.920 in 2018 (EPA 2018).

473

474 Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the 475 petitioned substance may be harmful to the environment or biodiversity (7 U.S.C. § 6517 (c) (1) (A) (i) 476 and 7 U.S.C. § 6517 (c) (2) (A) (i)).

477 478 An EPA memorandum was published in 2018 to summarize the human health and ecological effects of 479 exposure to konjac flour (EPA 2018). The memorandum was prepared in response to a request to use 480 konjac glucomannan as an inert ingredient in a pesticide. The EPA found that konjac glucomannan is 481 readily broken down through molecular degradation in the environment. The glucomannan has a 482 negligible vapor pressure, meaning that it will not vaporize under normal conditions and will only exist 483 as a particulate in the atmosphere. The polysaccharide nature of the glucomannan will allow it to absorb 484 into sediment and soil, which should prevent it from moving into surface and groundwater via runoff 485 from fields. Potential for bioaccumulation in soil is expected to be low. Based on the results of the human 486 health and environmental studies on konjac glucomannan, the EPA approved konjac glucomannan as an 487 inert ingredient under 40 CFR 180.920.

488

489 Evaluation Question #10: Describe and summarize any reported effects upon human health from use 490 of the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i)) and 7 U.S.C. § 491 6518 (m) (4)). 492

- 493 Konjac glucomannan, the main component in konjac flour, exhibits low levels of acute toxicity (EPA
- 494 2018). Studies have been performed in rats and mice to produce oral LD_{50} levels of >2800 mg/kg to >5000
- 495 mg/kg. It has not been shown to be a skin irritant or dermal sensitizer. Konjac flour may be an eve 496 irritant (FMC 1998).
- 497

498 The main concern for human health from konjac flour is the development of asthmatic responses in 499

- workers exposed to airborne powders produced during the commercial manufacture of the flour from the
- 500 konjac corms (EPA 2018). This form of occupational asthma is common in Japan. An inhalation exposure
- 501 test was performed on guinea pigs and demonstrated that respiratory hypersensitivity could indeed be 502 induced after repeated inhalation of konjac flour powder. Recent studies point to a protein called AG40D-
- 503 2 as the respiratory sensitizer, not the glucomannan particles themselves.
- 504
- 505 No evidence has been found for damage to immune function in mammals. Neurotoxicity, carcinogenicity, genotoxicity, mutagenicity, and clastogenicity have not been found to stem from exposure to konjac flour. 506 507 The EPA has not identified a toxicological endpoint of concern for konjac glucomannan and has indicated 508 no concern for aggregate exposure. A review by the Food Science and Safety Section of the National Food
- 509 Authority in Australia found no evidence of adverse effects attributable to konjac flour in animals and
- established an ADI "not specified" for the substance (JECFA 1996). 510
- 511
- 512 Konjac gum and konjac glucomannan were found in a review by the Nordic Council of Ministers to
- 513 potentially cause diarrhea, flatulence, and abdominal pain if consumed in excess (Mortensen et al. 2017).
- 514
- 515 Konjac flour may be considered a "functional food," which is defined as a food with additional functions
- 516 relating to health benefits (Behera and Ray 2017). Konjac flour swells in the human gut, which increases
- 517 feelings of satiety, possibly leading to a reduction in calories consumed.
- 518
- 519 It has been claimed that adding konjac flour to the human diet may have many positive health effects,
- 520 such as lowering serum cholesterol levels (Barrett et al. 2004); yet, these effects are not always supported
- 521 by clinical research studies. Studies on the role of konjac glucomannan in weight reduction and
- 522 carbohydrate metabolism modification have also been performed (Iglesias-Otero et al. 2019). However,
- 523 despite konjac glucomannan being promoted as a weight loss supplement, results of these studies have

524 525	been inconclusive. For example, studies involving overweight and obese individuals with self-selected diets and no changes in exercise patterns have not supported the claim (Keithley et al. 2013).
526	
527	Evaluation Question #11: Describe any alternative practices that would make the use of the petitioned
528	substance unnecessary (7 U.S.C. § 6518 (m) (6)).
529	
530	Other substances listed on the National List of Approved and Prohibited Substances could be used in
531	place of konjac flour for thickening, gel-forming, film-forming, and emulsifying in processed foods. Since
532	konjac flour is currently only listed as a non-organically produced agricultural product allowed in
533	"organic" processed products, an organic version of it could be substituted. An organic konjac flour
534	would possess similar properties as a non-organic one, which would allow for minimal differences in the
535	finished product.
536	
537	Evaluation Question #12: Describe all natural (non-synthetic) substances or products which may be
538	used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed
539 540	substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).
540 541	Other polysaccharide hydrocolloids may provide possible alternatives for konjac flour in processed
542	foods. Natural additives that may be successfully used in place of konjac flour include native starches,
542 543	gums such as locust bean or guar gum, and pectin (Saha and Bhattacharya 2010). Gelatin, a protein
543 544	hydrocolloid, may also be used.
545	nydroconold, may also be used.
545 546	Several substances can be found on the National List of Allowed and Prohibited Substances that may take
547	the place of konjac flour (NOP 2016). These ingredients may provide similar functionality used alone or
548	in combination with other substances.
549	in combination with other substances.
550	Per 7 CFR 205.605 (a), the following nonagricultural, non-synthetics are allowed:
551	• Agar-agar
552	• Carrageenan
553	• Gellan gum
554	
555	Per 7 CFR 205.605 (b), the following nonagricultural synthetics are allowed:
556	• Xanthan gum
557	0
558	Per 7 CFR 205.606, the following non-organically produced agricultural products are allowed only when
559	organic forms are not commercially available (and only in accordance with the applicable restrictions
560	specified in the section):
561	Lecithin (de-oiled)
562	Pectin (non-amidated forms only)
563	• Starches
564	Native corn starch
565	• Tragacanth gum
566	
567	Evaluation Information #13: Provide a list of organic agricultural products that could be alternatives
568	for the petitioned substance (7 CFR § 205.600 (b) (1)).
569	
570	The most likely organic replacements for konjac flour (in addition to organic konjac flour) as a
571	hydrocolloid are organic starches, such as cornstarch, tapioca starch, and arrowroot starch. Starch can act
572	as a hydrocolloid without adding strange tastes or smells, like some gums may do (Saha and
573	Bhattacharya 2010). However, the starches listed may not have the same gelling or thickening properties
574	as konjac flour or konjac glucomannan, so caution must be used when making substitutions. Organic

5 7	would not exactly mirror konjac flour's hydrocolloid properties (NOP 2016).
8	Report Authorship
9) 1	The following individuals were involved in research, data collection, writing, editing, and/or final approval of this report:
2 3 4 5	 Kylie White, MS, Savan Group Samantha Olsen, Technical Editor, Savan Group
5 5 7 8	All individuals are in compliance with Federal Acquisition Regulations (FAR) Subpart 3.11 – Preventing Personal Conflicts of Interest for Contractor Employees Performing Acquisition Functions.
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