Livestock Mandatory Reporting Compliance Program

LMR Compliance Structure

LMR Compliance Program Manager

LMR Compliance Program Coordinator

5 LMR Compliance Auditors

Conduct Approximately 200 Audits Per Year

Audits

- Every plant is audited two times per fiscal year.

- Audit schedule is set up every six months.

- In a six month period auditors review:
  - 38 cattle plants totaling 640 records
  - 42 boxed beef plants totaling 200 records
  - 43 swine plants totaling 670 records
  - 49 pork plants totaling 265 records
  - 6 sheep plants totaling 56 records
  - 14 lamb plants totaling 130 records

Audits (cont'd)

- A full day audit is also conducted at least once per fiscal year.

- During the audit, plants are required to provide documentation to the auditors.

- Documentation includes, but is not limited to: buy sheets, grading or settlement sheets, scale tickets, kill line-ups, sales invoices, copies of checks, etc.

Audits (cont'd)

- The auditors review the documentation.

- The auditors are verifying the plant's documentation and submissions to AMS.

- When the audit is complete the auditor writes up an official compliance report.

Audit Timeline

- Auditor contacts plant to set up audit schedule.

- Auditor contacts reporters.

- Auditor notifies plant 10 days prior for Lot ID's/records that will be reviewed.

- Auditor conducts audit and the audit report is sent to reporters within 10 business days.

- LPGMN reporters have 5 business days to contact the plant.

Audit Timeline (cont'd)

- Plant has 10 business days to respond.

- Plants state corrective actions.

- Reporters send a response back to auditing.

- Auditors then have 10 business days to verify corrective action with the plant.

LMR Compliance Program Review

- Livestock, Poultry, and Seed Program recently took steps to conduct an internal review of the LMR Compliance Program.

- Review was conducted by the Chief of the Audit Services Branch which is under the Quality Assessment Division (QAD).

- Based on this review there will be several things changing.

Auditing Changes

1. Auditors will have full control of the audit and report from start to finish;

2. Multiple procedures will be updated and revised, including more comprehensive training;

3. Amend current sampling procedures;

4. Moving to a Performance Based Auditing program;

5. Provide more transparency regarding auditing procedures.

Contact information

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