

Peracetic Acid

Livestock

Identification of Petitioned Substance

Chemical Names:

Peracetic acid; Ethaneperoxoic acid (IUPAC name); Acetic peroxide; Monoperacetic acid; Peroxoacetic acid; Acetyl hydroperoxide

CAS Numbers:

79-21-0
89370-71-8 (historic)

Other Name:

Peroxyacetic acid; PAA

Other Codes:

EC Number 201-186-8; ICSC Number 1031;
NIOSH Registry Number SD8750000; UN/ID
Number 3105

Trade Names:

SaniDate® 5.0, OxyPure™ BIO (Europe)

Summary of Petitioned Use

Peracetic acid (PAA) is currently allowed under the National Organic Program (NOP) regulations for use in organic crop production, organic livestock production and in organic food handling. This report addresses the use of peracetic acid in organic livestock production. Peracetic acid is currently allowed for use in organic livestock production at 7 CFR 205.603(a)(19) for sanitizing facility and processing equipment.

Characterization of Petitioned Substance

Composition of the Substance:

Chemically, the term "peracetic acid" describes two substances. "Pure" peracetic acid, described in the Merck Index (Budavari 1996), has the chemical formula $C_2H_4O_3$ (alternatively written CH_3CO_3H). Anhydrous peracetic acid explodes violently upon heating. In contrast, solutions of peracetic acid used as sanitizers are created by combining aqueous mixtures of two substances: acetic acid (the acid in vinegar) and hydrogen peroxide. At cool temperatures, acetic acid and hydrogen peroxide react over a few days to form an equilibrium solution containing peracetic acid, acetic acid and hydrogen peroxide. This equilibrium solution is the substance sold commercially as the sanitizer "peracetic acid." Adding a mineral acid catalyst accelerates the reaction.

Peracetic acid is an unstable oxidizing agent, which is why it is such an effective sanitizer. Most commercial peracetic acid solutions contain a synthetic stabilizer and chelating agent such as HEDP (1-hydroxyethylidene-1,1-diphosphonic acid) or dipicolinic acid (2,6-dicarboxypyridine) to slow the rate of oxidation or decomposition. These and other additives are discussed in *Combinations of the Substance*.

Source or Origin of the Substance:

Pure anhydrous peracetic acid is prepared from acetaldehyde and oxygen in the presence of cobalt acetate, or by the auto-oxidation of acetaldehyde (Budavari 1996). Solutions of peracetic acid, hydrogen peroxide, acetic acid and water are produced by reacting glacial acetic acid with hydrogen peroxide, frequently in the presence of a catalyst such as a mineral acid (e.g., sulfuric acid). Specific grades are obtained by controlling the concentrations and amounts of hydrogen peroxide and acetic acid during the manufacturing process. Adding an acid or increasing the temperature during the manufacturing process can accelerate the establishment of the final equilibrium concentration (grade). Commercial grades are available in peracetic acid concentrations ranging from about 0.3 to 40 % by weight. Solutions with relatively low content of acetic acid and hydrogen peroxide can be produced by distillation of the equilibrium solutions. Based on

51 the manufacturing process for sanitizing solutions of peracetic acid described above, it is evident that a
 52 pure peracetic acid solution is not produced or isolated when commercial peracetic acid solutions are
 53 manufactured.

54
 55 A peracetic acid solution also can be generated *in situ* by dissolving an activator (tetra-acetyl
 56 ethylenediamine) and a persalt (sodium perborate or sodium percarbonate) in water (OECD 2008), or on
 57 site (within 1 minute) by adding sodium hydroxide to triacetin and hydrogen peroxide (Harvey and
 58 Howarth 2013).

61 **Properties of the Substance:**

62 Pure anhydrous peracetic acid is a colorless liquid with a strong, pungent acrid odor. It is an organic
 63 substance which is completely miscible with water (water solubility of 1000 g/L at 20 °C) and is also
 64 soluble in ether, sulfuric acid and ethanol. It is a strong oxidizing agent – stronger than chlorine or chlorine
 65 dioxide (Carrasco and Urrestarazu 2010). It is highly unstable and decomposes to its original constituents
 66 under various conditions of temperature, concentration and pH. Peracetic acid decomposes violently at
 67 230°F (110°C). Peracetic acid diluted with 60% acetic acid, when heated to decomposition, emits acrid
 68 smoke and irritating fumes.

69
 70 Pure peracetic acid is not commercially available because it is explosive. For this reason it is not technically
 71 possible to determine the melting point, boiling point and vapor pressure of pure peracetic acid
 72 experimentally. Estimates based on modeling have been reported as -42 °C for melting point, about 105 °C
 73 for boiling point and 32 hPa at 25 °C for vapor pressure. The properties of commercial peracetic acid
 74 solutions vary based on concentrations (ratios) of their components (peracetic acid, hydrogen peroxide,
 75 acetic acid and water) for different grades. The physical and chemical properties of commercial equilibrium
 76 grades of 5% - 35% PAA are generally consistent in composition. Their properties are shown in Table 1.
 77

78 Table 1. Physical and Chemical Properties of Three Equilibrium Grades of PAA (adapted from JACC 2001).

Property	Value		
	5% PAA	15% PAA	35% PAA
Ratio of components: PAA:H ₂ O ₂ :HOAc:H ₂ O	5 : 22 : 10 : 63	15 : 20 : 15 : 50	35 : 7 : 40 : 18
Freezing/Melting point	-26 to -30 °C	-30 to -50 °C	-44 °C
Boiling point	99 to 105 °C	> 100 °C	> 105 °C
Density (g/cm ³) at 20 °C	1.12	1.15	1.13
Vapor pressure at 20 °C	21 to 21 hPa	25 hPa	17 hPa
Flash point (closed cup)	74 to 83 °C	68 to 81 °C	42 to 62 °C
Self-accelerating decomposition	> 55 to > 65 °C	> 50 °C	> 55 °C

79
 80 Peracetic acid has a molecular weight of 76.05. Its dissociation constant (pKa) is 8.2 at 20 °C and, therefore,
 81 the substance is mainly present in the environment as peracetic acid at a neutral pH (pH = 7), while
 82 peracetate (the salt of peracetic acid) would mainly be present if the pH is significantly higher than 8.2
 83 (OECD 2008). The pH of peracetic acid solutions is reported to range from < 1 to 1.8 (OECD 2008; U.S.
 84 National Library of Medicine 2012; NOAA 2015).

87 **Specific Uses of the Substance:**

88 Peracetic acid is listed for use in organic livestock production for sanitizing facility and processing
 89 equipment. This is consistent with the substance's primary use in the food industry as a bactericide and
 90 fungicide for sanitizing and disinfecting structures, equipment and hard surfaces. Sanitizers are described
 91 by both EPA and FDA as substances that reduce microorganisms to safe levels (U.S. EPA 2015). The FDA
 92 definition at 21 CFR 110.3(o) further identifies sanitizers as being effective in *destroying* vegetative cells of

93 microorganisms of public health significance from food-contact surfaces, and without adversely affecting
94 the product or its safety for the consumer. EPA defines disinfectants as substances used on hard inanimate
95 surfaces and objects to destroy or irreversibly inactivate infectious fungi and bacteria but not necessarily
96 their spores. Peracetic acid may be applied by spraying, fogging or immersing to kill bacteria, fungi and
97 viruses (U.S. EPA 1993). Peracetic acid functions under cold conditions (-4 °C) and is thus effective at
98 reducing microbial levels on equipment normally held below ambient temperature (Pfundner 2011).
99 Peracetic acid may be used in livestock production in dairies – milking parlors, dairy production and
100 transfer facilities and equipment – as well as in poultry premises, hatcheries, livestock quarters, stables,
101 stalls, pens, cages, and on feeding and watering equipment. Application to livestock structures can include
102 hard non-porous surfaces such as floors, walkways, walls, benches, countertops, shelves, racks, crates,
103 utility carts, trailers, vehicles, conveyors, refrigerator exteriors, fan blades, drains, piping, process water
104 transfer and handling systems, filter housings, vats, tanks, pumps, valves and systems. These applications
105 can serve to control mold, mildew and odors (BioSafe Systems LLC 2015).

106
107 Peracetic acid can also remove biofilms. Biofilms are polysaccharides produced by microorganisms such as
108 *E. coli*, *Salmonella spp.*, *Listeria spp.*, *Campylobacter spp.* and others. These biofilms facilitate attachment to
109 almost any surface. Their build-up can serve as a host to other bacteria and can be a source of ongoing re-
110 contamination (Pfundner 2011).

111
112 There are other uses of peracetic acid in livestock production which are not permitted under NOP
113 regulations. One study reports the effective treatment of digital dermatitis in cattle using peracetic acid in
114 footbaths (Laven and Hunt 2002). Another patent describes the use of orally ingested peracetic acid to
115 control microbial populations in the gastrointestinal tract of animals (McKenzie et al. 2003).

116
117 Significant use of peracetic acid also occurs in post-harvest handling and food processing. Refer to the
118 Technical Reports on peracetic acid for Handling and Crops for further information on these uses.
119 Peracetic acid has many other chemical uses in addition to that of sanitizer/disinfectant/microbial control.
120 It serves as a reagent in the production of glycerol and caprolactam and for preparing epoxy compounds. It
121 is a catalyst or co-catalyst for the polymerization of polyester resins. It is also a bleaching agent for textiles,
122 paper, oil, wax and starch (California Air Resources Board 1997; National Center for Biotechnology
123 Information 2015; U.S. EPA 2010). More recently peracetic acid has been employed in disinfecting
124 municipal wastewater.

125 126 **Regulatory Status and Approved Legal Uses of the Substance:**

127 Peracetic acid is currently permitted in the NOP regulations for organic crop production, organic livestock
128 production, and organic handling, with the annotations noted below. Sections 7 CFR 205.601(m) and 205.603(e)
129 are also cited below because peracetic acid solutions contain certain inert ingredients that are essential for
130 efficacy.
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132 133 **7 CFR 205.601 Synthetic substances allowed for use in organic crop production.**

- 134 (a) As algicide, disinfectants, and sanitizer, including irrigation system cleaning systems.
135 (4) Hydrogen peroxide.
136 (6) Peracetic acid – for use in disinfecting equipment, seed, and asexually propagated planting
137 material. Also permitted in hydrogen peroxide formulations as allowed in §205.601(a) at
138 concentration of no more than 6% as indicated on the pesticide product label.
139 (i) As plant disease control.
140 (5) Hydrogen peroxide.
141 (8) Peracetic acid – for use to control fire blight bacteria. Also permitted in hydrogen peroxide
142 formulations as allowed in §205.601(i) at concentration of no more than 6% as indicated on the
143 pesticide product label.
144 (m) As synthetic inert ingredients as classified by the Environmental Protection Agency (EPA) for
145 use with nonsynthetic substances or synthetic substances listed in this section and used as
146 an active pesticide ingredient in accordance with any limitations on the use of such
147 substances.

148 (1) EPA List 4 – Inerts of Minimal Concern

149

150 **7 CFR 205.603 Synthetic substances allowed for use in organic livestock production.**

151 (a) As disinfectants, sanitizer, and medical treatments as applicable.

152 (19) Peroxyacetic/peracetic acid (CAS #-79-21-0) – for sanitizing facility and processing
153 equipment.

154 (e) As synthetic inert ingredients as classified by the Environmental Protection Agency (EPA) for
155 use with nonsynthetic substances or synthetic substances listed in this section and used as an
156 active pesticide ingredient in accordance with any limitations on the use of such substances.

157 (1) EPA List 4 – Inerts of Minimal Concern

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159 **7 CFR 205.605 Nonagricultural (nonorganic) substances allowed as ingredients in or on processed
160 products labeled as “organic” or “made with organic (specified ingredients or food group(s)).”**

161 (b) Synthetics allowed: Peracetic acid/Peroxyacetic acid (CAS # 79-21-0) – for use in wash and/or rinse
162 water according to FDA limitations. For use as a sanitizer on food contact surfaces.

163

164 Peracetic acid has a complex regulatory status because several federal agencies have their own specific areas of
165 statutory jurisdiction. Each agency creates its own set of regulations for sanitizer use which can impact the
166 permissible uses of peracetic acid in organic crop production, organic livestock production, and organic
167 handling, including post-harvest handling.

168

169 The Organic Foods Production Act (OFPA) of 1990 at 7 USC 6519(c)(6) specifies that nothing in the OFPA
170 shall alter the authority of the secretary of agriculture under the Federal Meat Inspection Act or under the
171 Poultry Products Inspection Act, the authority of the Secretary of Health and Human Services under the
172 Federal Food, Drug and Cosmetic Act, or the authority of the Administrator of the Environmental
173 Protection Agency (EPA) under the Federal Insecticide, Fungicide and Rodenticide Act. Consequently, four
174 federal agencies regulate peracetic acid used in handling organic foods after harvesting or slaughter
175 (Theuer and Walden 2011).

- 176 • The National Organic Program (NOP) of Agricultural Marketing Service (AMS) of the U.S.
177 Department of Agriculture (USDA)
- 178 • The Food Safety and Inspection Service (FSIS) of the USDA
- 179 • The Food and Drug Administration (FDA)
- 180 • The Environmental Protection Agency (EPA)

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182 EPA, FDA, and FSIS have different approaches for implementing and codifying regulations, but the
183 agencies closely coordinate their regulations to facilitate uniform compliance. AMS, FSIS, FDA, and EPA
184 signed a memorandum of understanding (MOU 225-85-8400) in 1984 to promote more effective, efficient
185 and coordinated federal regulatory activities concerning residues of drugs, pesticides and environmental
186 contaminants that may adulterate food. Additional bilateral memorandums of understanding also ensure
187 close harmony among the agencies’ rules and regulations and define areas of responsibility. In 1971, EPA
188 and FDA issued a memorandum of understanding (MOU 225-73-8010) that split the responsibility for
189 pesticide materials used on agricultural products (other than meat). EPA is involved because peracetic acid
190 is legally classified as a pesticide. This memorandum of understanding assigns the responsibility for
191 processed fruit and vegetable products to FDA, and the responsibility for raw (unprocessed) fruit and
192 vegetable products to EPA. FSIS is responsible for meat and poultry products. FSIS and FDA implemented
193 a memorandum of understanding in January 2000.

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195 FDA is responsible for exercising the authority of the secretary of health and human services under the
196 Federal Food, Drug and Cosmetic Act. FDA regulates peracetic acid by enumerating the permissible
197 ingredients in PAA solutions. FDA regulations relating to the use of PAA for the two handling uses
198 allowed in 7 CFR 205.605(b) are codified in 21 CFR 173 and 178. Part 173 is titled “Secondary direct food
199 additives permitted in food for human consumption” and includes two sections that specifically mention
200 peroxyacetic acid: section 173.315 (“chemicals used in washing or to assist in the peeling of fruits and
201 vegetables”) and section 173.370 (“peroxyacids”). Part 178 is titled “Indirect food additives: adjuvants,
202 production aids, and sanitizers,” and includes one section specifically mentioning peroxyacetic acid:

203 section 178.1010 (sanitizing solutions). Section 178.1010 contains three paragraphs describing compositions
204 of peroxyacetic acid solutions.

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206 Five specific aqueous mixtures are described in 21 CFR 173 and 178, but there is redundancy in these
207 mixtures, so there are only three generic PAA solutions of concern (Theuer and Walden 2011). These are
208 described in detail below. In addition, FDA reviews petitions for new sanitizer compositions or new uses
209 for existing compositions and issues “Food Contact Substance Notifications” (FCNs) for food contact
210 substances that have been demonstrated to be safe for their intended uses. A database of these notifications
211 is maintained online¹. Entries in the FDA online database include the food contact substance, the
212 manufacturer of the substance, the intended use, the limitations on the conditions of use and its
213 specifications, and the effective date. Thirty FCNs relating to peracetic/ peroxyacetic acid have been issued
214 in the past six years².

215
216 EPA administers the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA). Every pesticide product
217 distributed in the United States must be registered with EPA. EPA approves the label and thus the
218 permissible uses of every pesticide product. Peracetic acid is an antimicrobial substance and thus is a
219 “pesticide” as defined by FIFRA.

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221 EPA regulations for food disinfection and food contact surface sanitation relating to the use of peracetic
222 acid for the uses allowed in 7 CFR 205.605(b) are codified in 40 CFR 180. In accordance with the regulatory
223 practice of EPA, these regulations enumerate the permissible tolerance limits of active ingredients and inert
224 ingredients in PAA solutions. Section 40 CFR 180.910 provides the list of inert (or occasionally active)
225 ingredients used pre- and post-harvest in direct food contact, and the exemptions from the requirement of
226 a tolerance of these ingredients on the food. Section 40 CFR 180.940 lists similar information for active and
227 inert ingredients in antimicrobial formulations used to sanitize food contact surfaces. Section 40 CFR
228 180.950 lists common safe ingredients, such as glycerin (glycerol), that are exempt from a tolerance in any
229 use. Sections 40 CFR 180.1196 and 180.1197 establish the conditions for an exemption from the requirement
230 for a tolerance for peroxyacetic acid (peracetic acid) and hydrogen peroxide, respectively. For example, if
231 the diluted solution applied to fruit contains less than 100 ppm of peracetic acid, the residue of peracetic
232 acid on the fruit is exempt from a tolerance.

233
234 FSIS administers the Federal Meat Inspection Act (FMIA) and the Poultry Products Inspection Act (PPIA).
235 Under the FMIA and the PPIA, FSIS is responsible for determining the suitability of FDA-approved
236 substances in meat and poultry products. Pursuant to the memorandum of understanding signed in
237 January 2000, FDA and FSIS work together to evaluate petitions requesting the approval of new substances
238 or new uses of previously approved substances for use in or on meat and poultry products. FSIS inspectors
239 enforce FSIS policy by implementing “FSIS Directives.” FSIS Directive 7120.1 permits two peracetic acid
240 solutions for direct food contact with red meat and poultry. These two solutions are among the same
241 solutions allowed by FDA; however the allowance varies depending on use (USDA Food Safety and
242 Inspection Service 2015).

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244 **Peracetic Acid Solutions Allowed by FDA and/or EPA and/or FSIS** (For the purpose of this report, each
245 PAA Solution is given a number which refers to one of the solutions listed below. More details on these
246 solutions are described in *Combinations of the Substance*.)

247
248 PAA Solution #1 – An aqueous solution of peracetic acid prepared by reacting the substances acetic
249 acid and hydrogen peroxide. The solution is stabilized with 1-hydroxyethylidene-1,1-diphosphonic
250 acid (HEDP).

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252 PAA Solution #1A – An aqueous solution of peracetic acid prepared by reacting the substances acetic
253 acid, sulfuric acid and hydrogen peroxide. The solution is stabilized with HEDP.

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¹ www.fda.gov/Food/FoodIngredientsPackaging/FoodContactSubstancesFCS/ucm116567.htm

² As of 1 November 2015.

255 PAA Solution #2 – An aqueous solution of peracetic acid and peroxyoctanoic acid prepared by reacting
 256 the substances acetic acid, octanoic acid and hydrogen peroxide. The solution is stabilized with HEDP.
 257 The food contact surface sanitizer version additionally contains the surface-active agent sodium 1-
 258 octanesulfonate.

260 PAA Solution #3 – An aqueous solution of peracetic acid prepared by reacting the substances acetic
 261 acid and hydrogen peroxide, optionally in the presence of sulfuric acid. The solution is stabilized with
 262 dipicolinic acid (DPA) and optionally HEDP.

264 PAA Solution #4 – An aqueous solution of peracetic acid prepared on site, either by adding sodium
 265 hydroxide to triacetin (glycerol triacetate) and hydrogen peroxide (Harvey and Howarth 2013), or by
 266 electrolysis and oxygenation of a sodium sulfate solution to produce sodium hydroxide and hydrogen
 267 peroxide, and then combining this with a solution of sulfuric acid and sodium acetate to produce
 268 peracetic acid (Buschmann and Del Negro 2012). No stabilizers are required.

270 The following tables describe which solutions are permitted for which uses by the responsible agencies.

271 **Table 2: Post-Harvest Handling: Direct food contact – red meat and poultry.**

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<u>PAA Solution</u>	<u>Agency</u>	<u>Status</u>	<u>References and Comments</u>
#1	FSIS	permitted	Directive 7120.1
	FDA	permitted	FCN Nos. 323, 1144, 1236, 1247,1286, 1363, 1495, etc.
	EPA	permitted	Tolerance exemptions established in 40 CFR 180.910
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs
#1A	FSIS	permitted	Directive 7120.1
	FDA	permitted	FCN Nos. 951, 1093, 1094, 1132, 1394, 1419, 1490, 1501, 1522, etc.
	EPA	permitted	Tolerance exemptions established in 40 CFR 180.910
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs
#2	FSIS	permitted	Directive 7120.1
	FDA	permitted	21 CFR 173.370 (“Peroxyacids”)
	EPA	permitted	Permitted in accordance with EPA registration, approved labeling, and FSIS approval
	NOP	see comment	Octanoic acid and peroxyoctanoic acid are not listed at 7 CFR 205.605(b). If either substance is labeled as an active ingredient, then the solution is not permitted.
#3	FSIS	permitted	Directive 7120.1 - Antimicrobial Update 10/21/15 ³
	FDA	permitted	FCN Nos. 1035, 1094, 1465, 1477, and 1522
	EPA	see comment	No tolerance exemptions for DPA but EPA has approved labels
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs
#4	FSIS	permitted ⁴	No objection 15-ING-1043-N-A (FCN No. 1362) No objection 13-ING-0952-N-A (FCN No. 1384)
	FDA	permitted	FCN Nos. 1384 and 1362

³Pdf document available at <http://www.fsis.usda.gov/wps/portal/fsis/topics/regulations/directives/7000-series/safe-suitable-ingredients-related-document>. Accessed 19 November 2015.

⁴ Food Safety and Inspection Service New Technology Information Table. Last Updates October 20, 2015; <http://www.fsis.usda.gov/wps/wcm/connect/fsis-content/internet/main/topics/regulatory-compliance/new-technologies/new-technology-information-table>. Accessed 21 November 2015.

	EPA	permitted	Tolerance exemptions established in 40 CFR 180.910, 180.950
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs

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Table 3: Post-Harvest Handling: Direct food contact – fruits and vegetables.

<u>PAA Solution</u>	<u>Agency</u>	<u>Status</u>	<u>References and Comments</u>
#1	FDA	see comment	21 CFR 173.315(a)(5): for fruits and vegetables that are not raw agricultural commodities, subject to limitations
	EPA	permitted	Tolerance exemptions established in 40 CFR 180.910
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations
#1A	FDA	permitted	FCN No. 1501
	EPA	permitted	Tolerance exemptions established in 40 CFR 180.910; Sulfuric acid is listed as GRAS at 21 CFR 184.1095
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs
#2	FDA	not permitted	21 CFR 173.370 permits use on meat and poultry only
	EPA	not permitted	No tolerance exemption for octanoic acid on growing crops or fruits and vegetables post-harvest at 40 CFR 180.910
	NOP	not permitted	7 CFR 205.605(b); Peroxyoctanoic acid is not listed in 7 CFR 205.605(b)
#3	FDA	permitted	FCN Nos. 1025 (not raw), 1426 (raw)
	EPA	see comment	No tolerance exemption for DPA in 40 CFR 180.910 but EPA has approved labels
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs
#4	FDA	permitted	FCN Nos. 1384 (both raw and not raw) and 1362 (not raw)
	EPA	see comment	Tolerance exemptions established in 40 CFR 180.910 and 180.950; May be permitted in accordance with EPA registrations (none have been registered as of November 1, 2015)
	NOP	see comment	7 CFR 205.605(b) for use in wash and/or rinse water according to FDA limitations; May be permitted in accordance with FDA-approved FCNs

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Table 4: Sanitizer on food contact surfaces and equipment without an intervening event (e.g., no potable water rinse).

<u>PAA Solution</u>	<u>Agency</u>	<u>Status</u>	<u>References and Comments</u>
#1	FDA	permitted	21 CFR 178.1010(b)(30); Pasteurized Milk Ordinance
	EPA	permitted	40 CFR 180.940 and 180.910
	NOP	permitted	7 CFR 205.605(b)
#1A	FDA	permitted	21 CFR 178.1010(b) and 184.1095
	EPA	permitted	40 CFR 180.940 and 180.910
	NOP	permitted	7 CFR 205.605(b)
#2	FDA	permitted	21 CFR 178.1010(b)(45); Pasteurized Milk Ordinance
	EPA	permitted	40 CFR 180.940 and 180.910
	NOP	see comment	Peroxyoctanoic acid and octanoic acid are not listed in 7 CFR 205.605(b). If either substance is labeled as an active ingredient, then the solution is not permitted for use without

			an intervening event.
#3	FDA	permitted	21 CFR 178.1010(b)(38); Pasteurized Milk Ordinance
	EPA	permitted	40 CFR 180.940(b)
	NOP	permitted	7 CFR 205.605(b)
#4	FDA	see comment	Solutions are not specifically cited at 21 CFR 178.1010; May be permitted in accordance with FCNs (none have been approved as of November 1, 2015)
	EPA	see comment	40 CFR 180.910, 180.940, and 180.950; May be permitted in accordance with EPA registrations (none have been registered as of November 1, 2015)
	NOP	see comment	7 CFR 205.605(b); May be permitted in accordance with FDA-approved FCNs

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Table 5: Crop Disease Control and Disinfection of Seed and Asexually Propagated Planting Material.

<u>PAA Solution</u>	<u>Agency</u>	<u>Status</u>	<u>References and Comments</u>
#1	EPA	permitted	Tolerances established at 40 CFR 180.910
	NOP	permitted	7 CFR 205.601(a)(4), (a)(6), (i)(5), (i)(8) and (m)(1)
#1A	EPA	permitted	Tolerances established at 40 CFR 180.910
	NOP	permitted	7 CFR 205.601(a)(4), (a)(6), (i)(5), (i)(8) and (m)(1)
#2	EPA	permitted	Tolerances established at 40 CFR 180.910
	NOP	see comment	Peroxyoctanoic acid and octanoic acid are not listed in 7 CFR 205.601. If either substance is labeled as an active ingredient, then the solution is not permitted.
#3	EPA	see comment	No tolerance exemption for DPA in 40 CFR 180.910 but EPA has approved labels
	NOP	not permitted	DPA is an EPA List 3 inert allowed solely as a component of passive pheromone dispensers [7 CFR 205.601(m)(2)]. Therefore, PAA Solution #3 is not permitted for the crop pesticide uses described in 7 CFR 205.601.
#4	EPA	see comment	40 CFR 180.910, 180.940, and 180.950; May be permitted in accordance with EPA registrations (none have been registered as of November 1, 2015)
	NOP	see comment	7 CFR 205.601(a)(4), (a)(6), (i)(5), (i)(8) and (m)(1) [all inerts on List 4]; May be permitted in accordance with EPA registrations

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Action of the Substance:

Peracetic acid and other peroxy sanitizers disinfect via oxidation. Peracetic acid oxidizes the outer cell membrane of vegetative bacterial cells, endospores, yeast, and mold spores, making it an effective sanitizer against all microorganisms, including bacterial spores. The reason for the excellent and rapid antimicrobial effects of peracetic acid is its specific capability to penetrate the cell membrane. Once inside the cell, peracetic acid plays a role in denaturing proteins, disrupting cell wall permeability, and oxidizing sulfhydryl and sulfur bonds in enzymes and other proteins. PAA irreversibly disrupts enzyme systems, which destroys the microorganism. The end products of peracetic acid oxidation are acetic acid and water.

Numerous sources cite the efficacy of PAA even in the presence of organic matter (Ruiz-Cruz, Acedo-Felix, et al. 2007). However, it also has been reported that the organic load of a solution can diminish PAA's effectiveness. As the pH of a solution approaches neutrality, PAA loses activity (Pfundtner 2011). One study on the action of PAA against microbial spores concluded that its sporicidal activity may be due to organic radicals created by PAA acting as reducing agents (electron donors) for spores normally in a highly oxidized state, as well as being oxidizing agents (electron acceptors) that cause damage to vegetative cells

300 (Marquis et al. 1995). Peracetic acid has a higher oxidation potential than chlorine dioxide and bleach
 301 (sodium hypochlorite at pH greater than 10) and does not contribute chlorine.

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 304 **Combinations of the Substance:**

305 Peracetic acid solutions #1 – 3 discussed above are made by mixing the ingredients identified in Table 6.
 306 The resulting mixtures contain the “active ingredients” and “inert ingredients,” as defined by EPA,
 307 identified in Table 7.

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 309 Table 6: Ingredients used to formulate PAA Solutions #1 – 3. The “+” symbol indicates that the ingredient
 310 is used in the formulation.

Ingredient	#1	#1A	#2	#3
Glacial acetic acid	+	+	+	+
Hydrogen peroxide	+	+	+	+
Octanoic acid			+	
Sodium 1-octanesulfonate			optional*	
Sulfuric acid		+		optional
HEDP	+	+	+	optional
Dipicolinic acid (DPA)				+

311 *only included in food contact surface sanitizer solutions; not included in solutions used in direct food contact
 312

313
 314 Table 7: Active or inert status of substances present in final PAA Solutions #1 – 3.

Substance Present in Final Solution	#1	#1A	#2	#3
Hydrogen peroxide	Active	Active	Active	Active
Peracetic acid	Active	Active	Active	Active
Octanoic acid			Active or Inert	
Peroxyoctanoic acid			Active	
Sodium 1-octane sulfonate			Inert	
Acetic acid	Inert	Inert	Inert	Inert
Sulfuric acid		Inert		Inert
HEDP	Inert	Inert	Inert	Inert
Dipicolinic acid (DPA)				Inert

315
 316 PAA solution #4 can be generated on site in either of two ways. The first uses triacetin and hydrogen
 317 peroxide reacted with sodium hydroxide, and the end product contains hydrogen peroxide, glycerin and
 318 residual triacetin (13%). The alternative method using electrolysis yields the active ingredients peracetic
 319 acid and hydrogen peroxide; the inert ingredients are glycerin, residual triacetin, sodium sulfate, and
 320 sodium acetate, each of which is an EPA List 4A or List 4B inert.

321
 322 Hydrogen peroxide is a synthetic substance. It is a Generally Recognized as Safe (GRAS) food ingredient
 323 (21 CFR 184.1366). Hydrogen peroxide itself is an antimicrobial used as a sanitizer. Sanitizing solutions of
 324 hydrogen peroxide are allowed in organic crop production at 7 CFR 205.601(a)(4) and (i)(5), in organic
 325 livestock production at 7 CFR 205.603(a)(13), and in organic handling at 7 CFR 205.605(b). See the Technical
 326 Report for hydrogen peroxide for additional information on this substance.

327
 328 Sodium 1-octane sulfonate (CAS No. 5324-84-5) is a surface-active agent in food surface sanitizers. It is
 329 classified by EPA at 40 CFR 180.940 as an “inert ingredient” exempt from a tolerance for use in
 330 antimicrobial formulations (food contact surface sanitizing solutions). It is not permitted for direct food
 331 contact at 21 CFR 173.370.

332
333 Glacial acetic acid is essentially pure acetic acid, with a specification of 99.5% to 100.5% by weight of acetic
334 acid (Wagner 2014). It has no direct antibacterial effects and thus is defined by EPA as an “inert
335 ingredient.”
336

337 Triacetin (CAS No. 102-76-1), referred to as “glycerol triacetate” by EPA at 40 CFR 180.910, is a synthetic
338 triglyceride (“fat”) created by reacting glycerin (glycerol) with acetic acid. Triacetin is soluble in 14 parts of
339 water and has been used as an antifungal agent (Budavari 1996).

340 Sulfuric acid (CAS No. 7664-93-9), a mineral acid used to reduce pH, is frequently included in peracetic
341 acid formulations to catalyze the formation of peracetic acid from acetic acid and hydrogen peroxide.

342 Sulfuric acid is classified by EPA as a List 4 inert. It is added during the manufacturing process to
343 accelerate the establishment of the final equilibrium concentration. Sulfuric acid is a GRAS food ingredient
344 listed at 21 CFR 184.1095.
345

346 Peracetic acid preparations usually contain a synthetic stabilizer such as HEDP (1-hydroxyethylidene-1,1-
347 diphosphonic acid) or dipicolinic acid (2,6-dicarboxy-pyridine) to slow the rate of oxidation or
348 decomposition of peracetic acid (Kurschner and Diken 1997). These stabilizers are chelating agents that
349 bind with metal ions and reduce their activity in solution. Synthetic stabilizers can be avoided if the
350 peracetic acid solution is produced on site as described for PAA solution #4 in Evaluation Question 2.
351 HEDP (CAS No. 2809-21-4) historically was classified by EPA as a List 4 inert. It is also exempt from the
352 requirement of a tolerance when used as a stabilizer/chelator in antimicrobial pesticide formulations at not
353 more than 1 percent (40 CFR 180.910).
354

355 Dipicolinic acid (DPA) (CAS No. 499-83-2) was classified by EPA as a List 3 inert in the past.
356

357 Octanoic acid (CAS No. 124-07-2), also known as caprylic acid, is an eight-carbon GRAS carboxylic acid (21
358 CFR 184.1025). It is a medium-chain fatty acid that occurs normally in various food fats, especially coconut
359 oil, babassu oil and palm kernel oil. It is commercially prepared by oxidation of n-octanol or by
360 fermentation and fractional distillation of the volatile fatty acids present in coconut oil.
361

362 Octanoic acid historically was on EPA List 4 as an inert ingredient but it may also be an active ingredient in
363 certain formulations. In 2009, EPA published its determination (74 FR 30080) that “Caprylic (octanoic) acid
364 is an antimicrobial pesticide that is used as a food contact surface sanitizer in commercial food handling
365 establishments. It is also used as a disinfectant in health care facilities and as an algicide in greenhouses
366 and interiorscapes on ornamentals. In addition, caprylic (octanoic) acid is characterized by low toxicity, is
367 biodegradable, and is found extensively in nature.”
368

369 In the presence of hydrogen peroxide, octanoic acid is reversibly converted to peroxyoctanoic acid (POOA),
370 CAS No. 33734-57-5. Octanoic acid and peroxyoctanoic acid have greater affinity for fatty tissues than
371 acetic acid and peracetic acid do, and thus peroxyoctanoic acid solutions are particularly useful for
372 disinfecting animal carcasses. A “peroxyacids” solution, referred to above as PAA solution #2, is
373 manufactured by mixing acetic acid, hydrogen peroxide, octanoic acid, and HEDP, following prescribed
374 relative proportions and order of addition at 13-27 °C. The mixture is allowed to equilibrate for about 7-13
375 days, whereby the acetic acid reacts in situ with hydrogen peroxide to form peroxyacetic acid, and the
376 octanoic acid reacts in situ with the hydrogen peroxide to form peroxyoctanoic acid. These sanitizing
377 mixtures are intended for washing of fruits, vegetables, meat, and poultry (Azanza 2004). The combination
378 of peroxyoctanoic acid and peracetic acid has a synergistic effect and greatly enhanced antimicrobial
379 activity when compared to peroxyoctanoic acid or peracetic acid alone, when used to control pathogens on
380 plants (Hei et al. 2001; Oakes, Stanley, and Keller 1993).
381
382

Status

383
384
385 **Historic Use:**

386 Peracetic acid was first registered in the U.S. as a pesticide for use as a disinfectant, sanitizer and sterilant
387 in 1985.

388
389 At its November 2000 meeting, the National Organic Standards Board (NOSB) reviewed the Technical
390 Evaluation Reports for use of peracetic acid and recommended inclusion of this sanitizer at 7 CFR 205.601
391 (crop production), 205.603 (livestock production), and 205.605 (handling). On October 31, 2003, NOP
392 published a final rule amending the National List to include peracetic acid at 7 CFR 205.601(a) for
393 disinfecting equipment, seed and asexually propagated planting material, and at 7 CFR 205.601(i) for use to
394 control fire blight bacteria (68 FR 61987).

395
396 On September 11, 2006, NOP published a final rule amending 7 CFR 205.605(b) to include peracetic acid
397 with the current annotation: "Peracetic acid/Peroxyacetic acid (CAS No. 79-21-0) - for use in wash and/or
398 rinse water according to FDA limitations. For use as a sanitizer on food contact surfaces" (71 FR 53299).

399
400 On December 12, 2007, NOP published a final rule amending 7 CFR 205.603(a) to include
401 "Peroxyacetic/peracetic acid (CAS # -79-21-0) - for sanitizing facility and processing equipment" (72 FR
402 70479).

403
404 A 2008 petition to the NOSB requested that 7 CFR 205.601 be modified to recognize that some hydrogen
405 peroxide sanitizers used in organic crop production, which had always contained some peracetic acid,
406 required relabeling to meet a new EPA requirement. This minor amount of peracetic acid was now
407 considered an active ingredient by EPA and thus must be labeled as such. In its November 2009
408 deliberations, the NOSB recommended that the peracetic acid annotation for crop production be amended
409 to add the following proviso: "Peracetic acid – Also permitted in hydrogen peroxide formulations as
410 allowed in §205.601(a) and (i) at concentration of no more than 6% as indicated on the pesticide product
411 label." The final rule incorporating this change into 7 CFR 205.601(a)(6) and (i)(8) was published (78 FR
412 31815) on May 28, 2013.

413
414

415 **Organic Foods Production Act, USDA Final Rule:**

416 Peracetic acid is not specifically listed in the Organic Foods Production Act of 1990. It is listed in several
417 parts of 7 CFR 205.601, 205.603, and 205.605(b) of the NOP regulations. Full regulatory text is included in
418 *Regulatory Status and Approved Legal Uses of the Substance*.

419
420

421 **International**

422 **Canada**

423 Peracetic acid does not appear in paragraph 5.3 (Health Care Products and Production Aids) of the
424 CAN/CGSB-32.311-2015 Permitted Substances List. It is, however, listed at paragraph 7.3 as a food-grade
425 cleaner, disinfectant and sanitizer permitted without a mandatory removal event, with the following
426 annotation: "On food and plants: peracetic acid may be used in wash or rinse water. Peracetic acid may
427 also be used on food contact surfaces." This allowance is consistent with the NOP regulations at 7 CFR
428 205.603.

429

430 **CODEX Alimentarius Commission, Guidelines for the Production, Processing, Labelling and Marketing 431 of Organically Produced Foods (GL 32-1999)**

432 The Codex Alimentarius Commission Guidelines for the Production, Processing, Labelling and Marketing
433 of Organically Produced Foods (GL 32-1999) do not mention any permitted sanitizers. Peracetic acid also
434 does not appear on Annex 2 (Permitted Substances for the Production of Organic Foods) in the guidelines.

435

436 **European Economic Community (EEC) Council Regulation, EC No. 834/2007 and 889/2008**

437 Peracetic acid is a permitted product for cleaning and disinfection of buildings and installations for animal
438 production (EC No 889/2008 - Annex VII - Products for cleaning and disinfection referred to in Article 23).
439 Peracetic acid and proctanoic acid are permitted materials for cleaning and disinfection of equipment and

440 facilities in the presence as well as in the absence of aquaculture animals (EC No 889/2008 - Annex VII
441 point 2.2).

442
443 **Japan Agricultural Standard (JAS) for Organic Production**

444 The Japanese Agricultural Standard for Organic Livestock Products, Table 4, lists “Agents for cleaning or
445 disinfecting of housing for livestock.” Included on this list are “Hydrogen Peroxide Solution” and
446 “Cleaning agents and disinfectants for milking equipment, rooms and buildings.” Peracetic acid is not
447 specifically mentioned.

448
449 **IFOAM - Organics International (IFOAM)**

450 The IFOAM norms permit use of peracetic acid for cleaning equipment and disinfecting equipment with no
451 final rinse (IFOAM Appendix 4, Table 2), and for disinfection of livestock housing and equipment (IFOAM
452 Appendix 5).

453
454
455 **Evaluation Questions for Substances to be used in Organic Crop or Livestock Production**

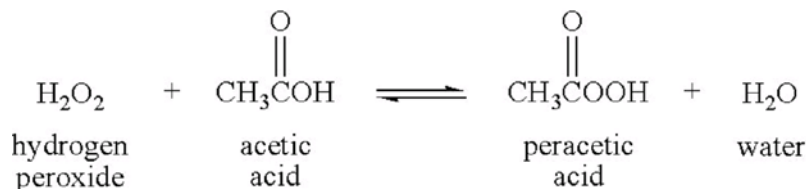
456
457 **Evaluation Question #1: Indicate which category in OFPA that the substance falls under: (A) Does the**
458 **substance contain an active ingredient in any of the following categories: copper and sulfur**
459 **compounds, toxins derived from bacteria; pheromones, soaps, horticultural oils, fish emulsions, treated**
460 **seed, vitamins and minerals; livestock parasiticides and medicines and production aids including**
461 **netting, tree wraps and seals, insect traps, sticky barriers, row covers, and equipment cleansers? (B) Is**
462 **the substance a synthetic inert ingredient that is not classified by the EPA as inerts of toxicological**
463 **concern (i.e., EPA List 4 inerts) (7 U.S.C. § 6517(c)(1)(B)(ii))? Is the synthetic substance an inert**
464 **ingredient which is not on EPA List 4, but is exempt from a requirement of a tolerance, per 40 CFR part**
465 **180?**

466
467 (A) Peracetic acid is a production aid (7 USC 6517(c)(1)(B)(i)).

468 (B) Peracetic acid is not an inert ingredient.

469
470
471 **Evaluation Question #2: Describe the most prevalent processes used to manufacture or formulate the**
472 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**
473 **formulation of the petitioned substance when this substance is extracted from naturally occurring plant,**
474 **animal, or mineral sources (7 U.S.C. § 6502 (21)).**

475
476 Peracetic acid solutions used for sanitation are equilibrium mixtures of peracetic acid, acetic acid and
477 hydrogen peroxide. Solutions of peracetic acid are most commonly produced by reacting glacial acetic acid
478 with a hydrogen peroxide solution, as shown in Figure 1.



479
480
481 Figure 1. Production of peracetic acid (Buschmann and Del Negro 2012).

482
483 A mineral acid (e.g., sulfuric acid) may be added to catalyze the reaction, and increasing the temperature
484 can accelerate the formation of PAA (National Center for Biotechnology Information 2015). If octanoic acid
485 is included as a reactant, peroxyoctanoic acid also is created.

486
487 Peracetic acid solutions are usually made with synthetic acetic acid. The major routes for synthetic acetic
488 acid production are methanol carbonylation, acetaldehyde oxidation, butane/naphtha oxidation, and
489 methyl acetate carbonylation. Comparatively small amounts are generated by butane liquid-phase

490 oxidation, direct ethanol oxidation, and synthesis gas. At present, methanol carbonylation using the Cativa
491 (iridium catalyst) or Monsanto (rhodium catalyst) process is the main route. These processes produce
492 glacial acetic acid, which is essentially pure acetic acid (Wagner 2014). Acetic acid is known as "vinegar
493 acid." Vinegar is an aqueous solution containing about 4-12% acetic acid (Le Berre et al. 2014), a
494 concentration too dilute to be practical in peracetic acid production.

495
496 Hydrogen peroxide (H₂O₂) is produced by autoxidation of an alkyl anthrahydroquinone. One way to
497 achieve this is via the 2-ethyl derivative, in a cyclic continuous process in which the quinone formed in the
498 oxidation step is reduced to the starting material by hydrogen in the presence of a supported palladium
499 catalyst. Another method is the electrolytic processes in which aqueous sulfuric acid or acidic ammonium
500 bisulfate is converted electrolytically to the peroxydisulfate, which is then hydrolyzed to form hydrogen
501 peroxide. It may also be carried out by autoxidation of isopropyl alcohol (Lewis 1997) and by
502 decomposition of barium peroxide with sulfuric acid or phosphoric acid.

503
504 PAA can reach concentrations of up to 40% in solution, with residual hydrogen peroxide from 5-25% and
505 acetic acid from 10-40% (Malchesky 2001). However, concentrations of 5-15% peracetic acid are more
506 typical in the food industry, and concentrations less than 6% are typical in crop pesticide solutions.
507 Residual hydrogen peroxide and acetic acid levels can be reduced through distillation of the equilibrium
508 solution. Stabilizers are generally added to chelate trace minerals and thereby retard PAA decomposition
509 (Malchesky 2001).

510
511 PAA solution #4, described in the *Regulatory* section, can be generated on site in either of two ways. When
512 a peracetic acid precursor (45 wt % triacetin and 55 wt % of 50% hydrogen peroxide) is reacted with
513 sodium hydroxide, triacetin is converted to peracetic acid at an 87% efficiency level and yields hydrogen
514 peroxide, glycerin and residual triacetin (13%) as inert ingredients. No stabilizers are required, allowing the
515 solution to be used immediately upon generation and at higher concentrations (Harvey and Howarth
516 2013). The same solution can be made alternatively by the electrolysis and oxygenation of a sodium sulfate
517 solution which generates sodium hydroxide and hydrogen peroxide. These are then combined with a
518 solution of sodium acetate and/or triacetin to form peracetic acid (Buschmann and Del Negro 2012).

519
520 Several other PAA manufacturing processes exist, but do not appear to be commercially available sources
521 based on the literature. One method is to produce peracetic acid by the oxidation of acetaldehyde
522 (Budavari 1996). In another method, hydrogen peroxide is mixed with a carboxylic acid in a reactor in the
523 presence of a sulfonic acid resin to form an aqueous PAA solution (Lokkesmoe and Oakes 1992). Still
524 another method involves the dissolution of an activator such as tetra-acetyl ethylenediamine (TAED) and a
525 persalt such as sodium percarbonate in water (Davies and Deary 1991).

526
527
528 **Evaluation Question #3: Discuss whether the petitioned substance is formulated or manufactured by a**
529 **chemical process, or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)).**

530
531 All of the commercial processes for making peracetic acid are chemical processes, as described in
532 Evaluation Question 2. One source of naturally occurring peracetic acid reported in the literature is that
533 which forms in the atmosphere through a series of photochemical reactions involving formaldehyde.
534 However, this is not a commercial source. Another report describes the production of peracetic acid by the
535 enzyme haloperoxidase produced by *Pseudomonas pyrrocinia* (Jacks et al. 2002). However, this also is not a
536 commercial source.

537
538
539 **Evaluation Question #4: Describe the persistence or concentration of the petitioned substance and/or its**
540 **by-products in the environment (7 U.S.C. § 6518 (m) (2)).**

541
542 Peracetic acid is highly soluble in water (1000 g/L at 20°C) and is also a highly reactive oxidizer (OECD
543 2008). Based on its vapor pressure, PAA could be expected to exist primarily in the gas phase in the
544 atmosphere (California Air Resources Board 1997). However, due to its solubility, it readily dissolves in

545 clouds and is removed from the atmosphere through rain-out (U.S. National Library of Medicine 2012;
546 California Air Resources Board 1997). PAA occurs, therefore, almost exclusively (99.95%) as a liquid in the
547 environment.

548

549 In air the half-life of peracetic acid is 22 minutes. The abiotic degradation of peracetic acid increases with
550 temperature and higher pH. At a temperature of 25 °C and at pH of 4, 7 and 9, the degradation half-life
551 values were 48 hours, 48 hours and less than 3.6 hours, respectively (OECD 2008).

552

553 Peracetic acid exerts its oxidizing effect on contact with reducing materials (Massachusetts Department of
554 Environmental Protection 2010), breaking down to water and acetic acid (Pfundner 2011). Peracetic acid is
555 also reported to have very low adsorption to soil (adsorption coefficient K_{oc} of 4) (PAN 2014b). Hydrogen
556 peroxide, its co-active ingredient, also oxidizes on contact, breaking down into oxygen and water. Peracetic
557 acid and hydrogen peroxide, therefore, degrade quickly and have low persistence in the environment and
558 on food (JECFA 2004). The Technical Report for hydrogen peroxide may be referenced for further
559 information on the persistence or concentration of hydrogen peroxide and its by-products in the
560 environment.

561

562 Acetic acid, the by-product of PAA, is also highly soluble, has low adsorption to soil (adsorption coefficient
563 K_{oc} of 117), and degrades in water into carbon dioxide and water. Its aerobic soil-half life is reported as an
564 average of 0.05 days (PAN 2014a). Thus, it also has very low persistence in the environment. The residual
565 amounts of acetic acid on food sanitized with peracetic acid solutions are expected to be within levels
566 considered acceptable for antimicrobials (JECFA 2004).

567

568 Residual HEDP from peracetic acid solutions is generally estimated to remain on the surface of treated
569 food at low levels (in the ppb range) (JECFA 2004).

570

571

572 **Evaluation Question #5: Describe the toxicity and mode of action of the substance and of its**
573 **breakdown products and any contaminants. Describe the persistence and areas of concentration in the**
574 **environment of the substance and its breakdown products (7 U.S.C. § 6518 (m) (2)).**

575

576 Peracetic acid and hydrogen peroxide are both strong oxidizers, meaning that they remove electrons from
577 other chemical groups and are reduced in the process. This reaction can occur at the cell surface or with
578 intercellular matter. High levels of oxidation can damage cells by disrupting membrane layers, inhibiting
579 enzymes, impairing energy production, disrupting protein synthesis, and affecting oxygen scavengers,
580 thiol groups and nucleosides. Oxidation can ultimately cause cell death. It has been suggested that
581 oxidation by PAA increases cell wall permeability by disrupting sulfhydryl and sulfur bonds. One study
582 specifically found PAA to be a powerful oxidant of amino acids, to fragment proteins, and to affect enzyme
583 activity (Finnegan, et al. 2010).

584

585 EPA registered pesticide product labels for peracetic acid solutions state that they are toxic to birds, fish
586 and aquatic invertebrates, and instruct users to use caution when applying indoors because pets may be at
587 risk. These labels further instruct to not discharge effluent containing peracetic acid products into lakes,
588 streams, ponds, estuaries, oceans or other waters unless in accordance with the requirements of the
589 National Pollution Discharge System (NPDES) permit and the permitting authority has been notified in
590 writing prior to discharge.

591

592 Peracetic acid was readily biodegradable during a biodegradation test where its biocidal effect was
593 prevented (OECD 2008). It was reported that peracetic acid will be degraded in a sewage treatment plant if
594 the influent concentration is not extremely high (e.g., more than 100 ppm). If effluents generated during the
595 production or use of peracetic acid are treated by a waste water treatment plant, no emission of peracetic
596 acid to the aquatic environment is expected (OECD 2008). A different study found that urban wastewater
597 treated with peracetic acid (not going through a water treatment plant) would show acute toxic effects on
598 aquatic organisms (Crebelli et al. 2003). However, none of the uses permitted under NOP regulations

599 involve direct application of PAA to effluent, and residual PAA from agricultural and food sanitizing
600 applications is expected to be negligible due to its breakdown during oxidation.
601
602

603 **Evaluation Question #6: Describe any environmental contamination that could result from the**
604 **petitioned substance's manufacture, use, misuse, or disposal (7 U.S.C. § 6518 (m) (3)).**
605

606 Peracetic acid is considered to be an environmentally friendly substance, with very little potential to cause
607 contamination due to its rapid breakdown into benign substances already present in the environment.
608 It has, however, been reported that peracetic acid in the atmosphere can react with photochemically
609 produced hydroxyl radicals (reaction half-life of approximately 9 days) (U.S. National Library of Medicine
610 2012), with a suggested role in contributing to acid rain (Gaffney et al. 1987).
611

612 Both peracetic acid and hydrogen peroxide have been cited as potential contributors to acid rain. However,
613 while peracetic acid and hydrogen peroxide can be involved in chemical reactions in the atmosphere that
614 ultimately lead to acid rain, the literature does not cite them as being a significant contributor to or source
615 of acid rain (Calvert and Stockwell 1983).
616

617 Peracetic acid has been found in some instances to have beneficial effects related to environmental
618 contamination. One study reports peracetic acid to be effective in degrading toxic compounds
619 benzo(a)pyrene and α -methyl-naphthalene in lake sediments through oxidation of the parent compound
620 (N'Guessan, Levitt and Nyman 2004).
621
622

623 **Evaluation Question #7: Describe any known chemical interactions between the petitioned substance**
624 **and other substances used in organic crop or livestock production or handling. Describe any**
625 **environmental or human health effects from these chemical interactions (7 U.S.C. § 6518 (m) (1)).**
626

627 Peracetic acid can react violently with acetic acid anhydride, metal chloride solutions (e.g., calcium chloride
628 and potassium chloride), olefins (e.g., mineral oil) and organic matter (U.S. EPA 2010, National Center for
629 Biotechnology Information 2015), thus presenting potential health hazards if proper safety measures are
630 not employed. Manufacturers also recommend avoiding contact with strong bases, heavy metals, soft
631 metals (e.g., copper, brass, bronze, zinc and aluminum), leather, paper, wood and oxidizable organics
632 (EnviroTech 2014), as these will react with and accelerate the degradation of the peracetic acid. In practice,
633 PAA has been used as an oxidizing agent to decompose humic materials in soils in order to study their
634 composition (Schnitzer and Skinner 1974; Schnitzer and Hindle 1980). These reactions resulting in the
635 degradation of PAA are not expected to have a significant effect on the environment.
636

637 As discussed earlier, peracetic acid is used almost exclusively in combination with hydrogen peroxide,
638 acetic acid and stabilizers. The combination of peracetic acid and hydrogen peroxide has been reported to
639 have synergistic effects as a bactericide, with that synergy being maintained over contact time (Alasri, et al.
640 1992). EPA registered pesticide product labels for peracetic acid/hydrogen peroxide disease controls
641 instruct users to not mix or combine with other pesticide concentrations.
642
643

644 **Evaluation Question #8: Describe any effects of the petitioned substance on biological or chemical**
645 **interactions in the agro-ecosystem, including physiological effects on soil organisms (including the salt**
646 **index and solubility of the soil), crops, and livestock (7 U.S.C. § 6518 (m) (5)).**
647

648 Peracetic acid has been studied as an oxidizing agent of organic materials in soil. Schnitzer and Hindle
649 (1980) looked at changes to the nitrogen profile of humic acid samples as a result of mild oxidation by
650 peracetic acid and found decreases in amino acid-N and carbon, and increases in ammonia-N, nitrite-N
651 and nitrate-N. As a bactericide, it can also impact soil microorganisms. However, nothing in the literature
652 reviewed for this report suggests that the impact on soil microbiota is a concern when using PAA as a

653 sanitizer, cleaner or in disease control applications. The reasons are likely due to the fact that the inhibitory
654 effects of PAA on microorganisms are intended and/or ephemeral.

655
656 Peracetic acid is reported to have some phytotoxic properties. Little is known about the toxicity of peracetic
657 acid to plants at levels expected in the environment (nanomolar to low micromolar concentrations in acidic
658 precipitation) (Gaffney et al. 1987). However, direct application in combination with hydrogen peroxide as
659 a disease control measure may cause some visible damage to treated plants. The label for one peracetic acid
660 product, BioSafe Disease Control, states that “treatments may result in lesions on plant tissue. BioSafe
661 Disease Control will oxidize parasitic organisms living in plant tissue that are not always visible to the
662 naked eye. Resulting oxidative effects may include spotting, or drying of the plant tissue where organisms
663 inhabited tissue.”

664
665 Hydrogen peroxide can also cause cellular damage to plants and, although its adverse effects in the
666 atmosphere have not been documented, scientists have noted the need to further study its impact on biota
667 (Gaffney et al. 1987). Atmospheric H₂O₂ (from both anthropogenic and non-anthropogenic sources) is
668 mostly removed from the atmosphere via dry deposition (Sakugawa, Kaplan and Shepard 1993).
669 According to one study, gas phase peracetic acid is less likely to be taken up by plants via dry deposition
670 than hydrogen peroxide (Wesely 1967).

671
672
673 **Evaluation Question #9: Discuss and summarize findings on whether the use of the petitioned**
674 **substance may be harmful to the environment (7 U.S.C. § 6517 (c) (1) (A) (i) and 7 U.S.C. § 6517 (c) (2) (A)**
675 **(i)).**

676
677 While peracetic acid is a hazardous substance with acute toxicity due to its strong oxidizing action, its use
678 as a sanitizer is not likely to have significant adverse impacts on the environment. As a reactive chemical, it
679 does have immediate impacts, but those impacts are short lived and not necessarily detrimental. There was
680 no information in the literature reviewed for this report to suggest cumulative or persistent harm to the
681 environment resulting from the permitted use of peracetic acid in organic agriculture and food processing.

682
683
684 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of**
685 **the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i) and 7 U.S.C. § 6518**
686 **(m) (4)).**

687
688 Concentrated solutions of peracetic acid are strongly irritating to the skin, eyes, mucous membranes, and
689 respiratory system (Budavari 1996). Skin contact can result in severe irritation and burns, as can eye
690 contact, leading to eye damage (New Jersey Department of Health and Senior Services 2004). When using
691 fully diluted sanitizing solutions, no special eye, hand, skin, or respiratory protective equipment is
692 normally required (Budavari 1996). Ingestion can cause corrosion of the mucous membranes in the mouth,
693 throat and esophagus (California Air Resources Board 1997). The probable human oral lethal dose may
694 occur at a concentration of around 50-500 ppm (U.S. National Library of Medicine 2012), though EPA
695 found no data on human lethality due to peracetic acid exposure in the literature (U.S. EPA 2010).
696 Inhalation of PAA irritates the nose, throat and lungs, and causes coughing and/or shortness of breath. At
697 high levels of inhalation exposure, one can experience pulmonary edema or a build-up of fluid in the
698 lungs. High or repeated exposure may affect the liver or kidneys.

699
700 EPA considers concentrated solutions of peracetic acid to be in Toxicity Category I (highest toxicity
701 category) for acute irritation to eyes and skin, and in Toxicity Category III for acute oral effects (U.S. EPA
702 1993). Hydrogen peroxide is considered to be much less toxic than peracetic acid (National Research
703 Council 2010). Acetic acid is considered to be of low toxicity (PAN 2014a).

704
705 EPA has established Acute Exposure Guideline Levels (AEGLs) for peracetic acid, shown in Table 8 below.
706 EPA reports that the effects of PAA exposure are more correlated with concentration than duration of

707 exposure. And, because PAA is soluble in water, it is expected to be effectively scrubbed in the upper
708 respiratory tract (U.S. EPA 2010).

709

710 Table 8. Acute Exposure Guideline Levels (AEGLs) for Peracetic Acid.

	10 min	30 min	60 min	4 hr	8 hr
AEGL 1 ⁵	0.52 mg/m ³	0.52 mg/m ³	0.52 mg/m ³	0.52 mg/m ³	0.52 mg/m ³
AEGL 2 ⁶	1.6 mg/m ³	1.6 mg/m ³	1.6 mg/m ³	1.6 mg/m ³	1.6 mg/m ³
AEGL 3 ⁷	60 mg/m ³	30 mg/m ³	15 mg/m ³	6.3 mg/m ³	4.1 mg/m ³

711 The EPA Registration Eligibility Decision (RED) document for peracetic acid states that peroxy compounds
712 pose essentially no risk to human health through dietary exposure, and the risks described above are
713 minimized to applicators and mixers through the use of protective equipment, as required by product
714 labeling. According to the report, EPA considers the risks posed to humans by the use of peroxyacetic acid
715 to be negligible (U.S. EPA 1993).

716
717
718 An *in vitro* dermal penetration assay at 37°C using 0.8% peracetic acid (8000 ppm) (non-corrosive) indicated
719 a low dermal uptake of peracetic acid through the intact skin of pigs. When the skin of rats was exposed to
720 a corrosive concentration of radiocarbon-labeled peracetic acid, a considerable uptake of radiocarbon was
721 found but it is unknown if it was present as peracetic acid, acetic acid, or carbon dioxide. It is expected that
722 corrosive concentrations of peracetic acid would compromise the normal barrier function of the skin
723 (OECD 2008).

724
725 Two reliable *in vitro* studies, using different analytical methods, showed a rapid degradation of peracetic
726 acid in rat blood. When rat blood was diluted 1000 times, the half-life of peracetic acid was less than five
727 minutes. In undiluted blood the half-life is expected to be several seconds or less. For this reason the
728 distribution of peracetic acid is probably very limited and it is not expected to be systemically available
729 after exposure to peracetic acid (OECD 2008).

730
731
732 **Evaluation Question #11: Describe all natural (non-synthetic) substances or products which may be**
733 **used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed**
734 **substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).**

735
736 Nonsynthetic alternatives to peracetic acid sanitizers include vinegar, natural alcohols, citric acid, lactic
737 acid and sodium bicarbonate.

738
739 Reports indicate that vinegar may not be able to consistently replicate the antimicrobial efficacy of peracetic
740 acid. One study reported that a 50% vinegar disinfectant had comparable effectiveness as an antimicrobial
741 agent to an 80 ppm peracetic acid solution (Nascimento et al. 2003). Another study reported that undiluted
742 vinegar showed antimicrobial activity against the Gram negative organisms *S. typhi* and *E. coli*; however, it
743 was not effective against the Gram positive *S. aureus* (Parnes 1997). Unlike peracetic acid, vinegar is
744 reported to have low efficacy in the presence of organic materials (Perry and Caveney 2011), but has been

⁵ AEGL-1 is the airborne concentration of a substance above which it is predicted that the general population, including susceptible individuals, could experience notable discomfort, irritation or certain asymptomatic, non-sensory effects that are transient or reversible. For peracetic acid this is reported to be irritation to the upper respiratory tract.

⁶ AEGL-2 is the airborne concentration of a substance above which it is predicted that the general population, including susceptible individuals, could experience irreversible or other serious, long-lasting adverse health effects or an impaired ability to escape. For peracetic acid, it is associated with slight to tolerable discomfort to nasal membranes and eyes for exposure durations up to 20 minutes.

⁷ The AEGL-3 is the airborne concentration of a substance above which it is predicted that the general population, including susceptible individuals, could experience life-threatening health effects or death. These values for peracetic acid are adjusted from the highest concentrations at which no mortality was observed in exposed rats.

745 shown to have greater efficacy as a disinfectant than both lemon juice (citric acid) and baking soda (sodium
746 bicarbonate) (Olson et al. 1994).

747
748 Alcohol is classified as an intermediate-level disinfectant for healthcare equipment. Like peracetic acid, it is
749 fast acting. It is effective against *S. aureus*, *Salmonella*, *Streptococcus* and *Leptospira*. It leaves no residuals
750 which is positive in terms of environmental impact, but limits the duration of its efficacy. Alcohols are also
751 inactivated by organic material, whereas peracetic acid remains effective even in the presence of heavy
752 organic loads (Perry and Caveney 2011).

753
754 There are also a number of synthetic substances allowed in the NOP regulations for use as disinfectants or
755 sanitizers. These are: synthetic alcohols (ethanol and isopropanol), chlorine materials (including calcium
756 hypochlorite, chlorine dioxide and sodium hypochlorite, electrolyzed water⁸), hydrogen peroxide, ozone,
757 and sodium carbonate peroxyhydrate.

758
759
760 **Evaluation Question #12: Describe any alternative practices that would make the use of the petitioned**
761 **substance unnecessary (7 U.S.C. § 6518 (m) (6)).**

762
763 Sanitization of facilities and process equipment is a crucial step in ensuring the health of organic livestock
764 and the safety of their products for human consumption. Thus, other practices should be viewed as
765 complementary to sanitization, not replacements. Everything from managing visitors and traffic control, to
766 appropriately managing replacement animals, and also the storage and handling of feedstuffs and manure
767 can help control pathogen spread. Using dedicated equipment to handle feed, for example, can help
768 prevent transmission of pathogens from sick or dead animals or manure.

769
770 Livestock waste is a major source of pathogens and can also contain protozoa and viruses. Thus, best
771 practices for manure management should be employed to help reduce the occurrence and minimize the
772 spread of pathogenic organisms. These practices include appropriate animal management and housing,
773 dietary modifications to add yeast or probiotics when determined necessary; appropriate production
774 management, land application of manure and chemical and biological treatment of stored manure.
775 Controlling flies and vermin can also reduce the spread of pathogens among animals (University of
776 Minnesota Extension 2007).

777
778

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